

**DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT  
DIVISION OF FINANCIAL ASSISTANCE**

2020 W. El Camino Avenue, Suite 500  
Sacramento, CA 95833  
(916) 263-2771 / FAX (916) 263-2763  
[www.hcd.ca.gov](http://www.hcd.ca.gov)



August 1, 2017

**MEMORANDUM FOR: ALL POTENTIAL APPLICANTS**

**FROM:** Kathryn Amann, Acting Deputy Director  
Division of Financial Assistance

**SUBJECT: Notice of Funding Availability for Emergency Solutions  
Grant Program for the Balance of State Allocation**

The Department of Housing and Community Development (HCD) is pleased to announce the availability of approximately \$4.9 million in federal funds for the Emergency Solutions Grant (ESG) Program and approximately \$3.3 million in State funds for the California ESG Program (CA ESG) for the Balance of State Allocation.

HCD will hold an application training workshop on Tuesday, August 8, 2017. To register for the workshop, contact Cheryl Jeffreys, HCD NOFA Representative, [Cheryl.Jeffreys@hcd.ca.gov](mailto:Cheryl.Jeffreys@hcd.ca.gov), (916) 263 6422. The workshop will be held at:

Department of Housing and Community Development  
2020 West El Camino Avenue, Room 402 A/B  
Sacramento, CA 95833

Check-In: 8:30 a.m - 9:00 a.m. Workshop: 9:00 a.m. – 12:00 p.m.

A complete original application and one USB flash drive that includes a copy of the application with signatures must be received no later than **5:00 p.m. Pacific Standard Time on September 15, 2017**, delivered by private courier or U.S. Mail.

The application form and guidelines are posted on the [HCD website](#). If you have any questions, please contact Janette Schaake, HCD NOFA Representative, (916) 263-2331 or [Janette.schaake@hcd.ca.gov](mailto:Janette.schaake@hcd.ca.gov), Cheryl Jeffreys, HCD NOFA Representative, [Cheryl.Jeffreys@hcd.ca.gov](mailto:Cheryl.Jeffreys@hcd.ca.gov), (916) 263 6422, or Connie Mallavia, HCD NOFA Representative, [Connie.Mallavia@hcd.ca.gov](mailto:Connie.Mallavia@hcd.ca.gov), (916) 263-2711.

Attachment

**Joint Federal Emergency Solutions Grant and California  
Emergency Solutions Grant Program**

**2017 Notice of Funding Availability  
For the Balance of State Allocation**



**State of California  
Governor Edmund G. Brown Jr.**

**Alexis Podesta, Secretary  
Business, Consumer Services and Housing Agency**

**Ben Metcalf, Director  
Department of Housing and Community Development**

NOFA Section, ESG Program  
2020 West El Camino Avenue, Suite 500, Sacramento, CA 95833  
ESG Program Email: [ESG@hcd.ca.gov](mailto:ESG@hcd.ca.gov)

August 2017

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# I. INTRODUCTION

## Overview

The State Department of Housing and Community Development (Department) is pleased to announce the availability of approximately \$4.9 million in federal funds for the Emergency Solutions Grant (ESG) Program, and approximately \$3.3 million in State funds for the California ESG Program (CA ESG) for eligible Continuum of Care (CoC) Service Areas listed in [Appendix A](#).

The ESG Program provides funding to: (1) engage homeless individuals and families living on the street; (2) improve the number and quality of emergency shelters for homeless individuals and families; (3) help operate these shelters; (4) provide essential services to shelter residents; (5) rapidly re-house homeless individuals and families; and (6) prevent families/individuals from becoming homeless.

The Department receives federal ESG funds from the United States Department of Housing and Urban Development (HUD), and CA ESG funds from the 2016-17 California Budget Bill, SB 837 (Section 72). The federal and CA ESG funds are distributed into two separate funding pools: the CoC Allocation Pool (CoC Allocation) and Balance of State Allocation Pool (BoS Allocation). Funding in the BoS Allocation is made available based on recommendations from a CoC in two ways:

- A. Through [regional competitions](#) within three geographic regions (Northern Region, Bay Area Region, Central and Imperial Valley Region) pursuant to [25 CCR Section 8404 \(a\)\(3\)](#); and,
- B. Through a [noncompetitive process](#) for Rapid Rehousing (RR) activities.



This Notice of Funding Availability (NOFA) outlines requirements and timelines for CoCs and their recommended applicants for the BoS Allocation. Please see the Regulatory Authority Section below for the regulations and guidelines. CoCs are responsible for recommending applicants to the Department for 2017 ESG and CA ESG funding. Recommended applicants can access funds noncompetitively for RR and can submit applications to the Department for the regional competition.

**All references to the “State” are references to the State of California. All references to ESG funding hereafter reference both the Department’s federal ESG and State of California (CA) ESG funds, unless otherwise noted.**

## Regulatory Authority

Federal funding under this NOFA is made available pursuant to Subtitle B of Title IV of the McKinney-Vento Homeless Assistance Act, [42 U.S.C. 11371 et seq.](#) CA ESG funds are made available and authorized by SB 837 (Section 72). Relevant legal authority includes, but is not limited to, the following:

- [Code of Federal Regulations \(CFR\), Title 24, Part 576;](#)
- [California Code of Regulations \(CCR\), Title 25, Division 1, Chapter 7, Subchapter 20;](#)
- [Chapter 19 § 50899.1 of Part 2 of Division 31 of the Health and Safety Code \(CA ESG Statute\);](#) and,
- [Department of Housing and Community Development, California Emergency Solutions Grants Program, Program Guidelines, §100 et seq.](#)

If federal or State statutes or regulations, or other laws governing ESG (or its funding) are modified by Congress, HUD, HCD, or the State Legislature prior to completion of work to be done pursuant to awards made in connection with this NOFA, the changes may become effective immediately and apply to funded activities.

In administering federal ESG funds under this NOFA, if there is a conflict between the federal and State regulations, the federal regulations shall prevail. In administering CA ESG funds under this NOFA, if there is conflict between federal and State regulations, pursuant to §100(c) of the State guidelines, the State guidelines shall prevail. In addition, HCD reserves the right, at its sole discretion, to suspend or amend the provisions of this NOFA. If such an action occurs, HCD will notify interested parties.

## Key Changes in the 2017 NOFA

The 2016-17 California Budget Bill, SB 837 (Section 72) enacted and funded the CA ESG Program. The Program operates under the same requirements as the federal ESG Program with the following exceptions:

- A. Grantees that are cities, counties, or other political subdivisions of the State of California may take 5 percent of their CA ESG award for grant administration<sup>1</sup>.
- B. CA ESG funds are available to all CoC Service Areas, including Service Areas that contain no Nonentitlement Areas<sup>2</sup>.
- C. Up to 20 percent of an individual CA ESG formula allocation can be used to support the development or operation of local Coordinated Entry Systems (CES). CES costs that involve capital development activities, such as real property acquisition, construction or rehabilitation activities will not be eligible under CA ESG<sup>3</sup>.

<sup>1</sup> [CA ESG Guidelines Section 102 \(b\)](#)

<sup>2</sup> [CA ESG Guidelines Section 102 \(c\)](#)

<sup>3</sup> [CA ESG Guidelines Section 108\(b\)](#)

- D. There is no match requirement for CA ESG funds. Federal ESG funds are still required to be matched<sup>4</sup>. Matching contributions may be obtained from any source, including any federal source other than the HUD ESG awards, as well as state, local, and private sources. CA ESG funds may be used for the one-to-one match of the federal ESG funds and must be for the same approved activity.
- E. Grantees seeking reimbursement for any indirect costs shall certify compliance with the Office of Management and Budget (OMB) requirements and standards for indirect costs (see [Section IV. B. Eligible Costs](#)).

### **Role of Continuum of Care in the BoS Allocation**

CoCs play an integral role in recommending homeless provider applicants who compete for funds within their local competition. Applications shall only be considered if recommended by the CoC where the activity is proposed. **CoCs are required to submit the CoC Recommendation Package located on HCD's website.** A maximum of **two applications** may be recommended from each CoC for the regional competition, and **two applications** for the noncompetitive funding. In making these recommendations, the CoC must follow a fair and open process that meets the requirements of [25 CCR 8404 \(a\) \(2\)](#). In addition, CoCs must submit a letter of recommendation that certifies the provider selection process was compliant with the requirements of [25 CCR 8404, 8408, & 8409](#).

Additionally, CoCs are responsible for ensuring that the Grantee will:

- provide eligible sources of matching funds for activities funded with federal ESG funds;
- operate consistently with system-wide written standards, adopted by the CoC for the proposed activity;
- enter data into the applicable community-wide Homeless Management Information System (HMIS) operated by the CoC; and,
- use the CoC's CES in a manner that prioritizes access to people with the most severe needs and otherwise promotes consistency with [Core Practices](#).

## **II. BOS NONCOMPETITIVE FUNDING**

CoCs are responsible for recommending up to **two homeless service providers for noncompetitive RR funds** for a minimum of 40 percent up to a maximum of 50 percent of their BoS formula allocation listed in [Appendix A](#). In making this recommendation, CoCs shall use a process that meets the following requirements:

- A. Is a fair and open competition that avoids conflicts of interest in project selection, implementation, and the administration of funds.
- B. Considers selection criteria reasonably consistent with the criteria identified in the BoS Regional Competition Section III. D. below.

<sup>4</sup> [24 CFR 576.201; 25 CCR 8410; CA ESG Guidelines Section 110](#)

- C. Complies with the Core Practice requirements in [25 CCR 8409](#) and [CA ESG Guidelines Section 109](#).
- D. Incorporates the performance standards set forth in the Department's Annual Action Plan.
- E. Complies with federal ESG and CA ESG requirements.
- F. Considers any other practices promoted or required by HUD.
- G. Ensures the funded homeless service provider maintains documentation of satisfactory match pursuant to the requirements of [24 CFR 576.201](#).

**Note:** [25 CCR 8408](#) prohibits subpopulation targeting with ESG funds in Homelessness Prevention (HP) and RR programs except if documentation of all of the following is provided to the Department prior to the award of funds for these activities:

- that there is an unmet need for these activities for the subpopulation proposed for targeting; and,
- that there is existing funding in the CoC Service Area for programs that address the needs of the excluded populations for these activities.

The following requirements relevant to the BoS activities have been proposed in the Department's 2017 Annual Action Plan. The Annual Action Plan is required by HUD pursuant to 24 CFR Part 91 governing the distribution and use of ESG funds allocated by HUD to states and local governments. CoCs must adhere to these requirements as part of their provider recommendation process:

## **A. Amounts Available for Administrative Activities**

### **Grant Administration**

Recommended local government service providers may request up to \$200 of federal ESG funds and 5 percent of CA ESG funds to pay for direct administrative or program costs<sup>5</sup>.

### **Homeless Management Information System (HMIS) (24 CFR 576.107; 25 CCR 8408)**

A maximum of 10 percent of ESG funds may be used for costs for HMIS activities.

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<sup>5</sup> [24 CFR 576.108](#); [25 CCR 8404 \(b\)](#); [Health and Safety Code 50899.5](#)

## **Coordinated Entry Activities (CA ESG Guidelines Section 108)**

A maximum of 20 percent of an Applicant's CA ESG funds may be used for costs necessary to develop or operate a CES pursuant to the requirements of [24 CFR 576.400\(d\)](#). CES costs that involve capital development activities, such as real property acquisition, construction, or rehabilitation activities **will not** be eligible costs under CA ESG.

### **B. Eligible Activities**

#### **Rapid Re-Housing Assistance (24 CFR 576.104; 25 CCR 8408) and 10 percent Set-Asides: Street Outreach and Homelessness Prevention**

ESG funds may be used to provide housing relocation and stabilization services and short- or medium-term rental assistance as necessary to help a homeless individual or family move as quickly as possible into permanent housing and achieve stability in that housing. RR activities must meet all of the HUD requirements specified at including requirements for:

- eligible program participants;
- eligible costs, including financial assistance costs and services costs;
- maximum amounts and periods of assistance;
- use with other subsidies;
- limitations on maximum rent levels;
- rental assistance agreement with owner;
- lease agreement between owner and participant; and,
- condition of housing where assistance is provided.

In addition to federal requirements, pursuant to [25 CCR 8408](#), no subpopulation targeting will be permitted in RR activities unless documentation of the following is provided to HCD prior to the award of funds for these activities:

1. Evidence of an unmet need for these activities for the subpopulation proposed for targeting; and,
2. Evidence of existing funding in the CoC Service Area for programs that address the needs of the excluded populations for these activities.

Applicants may request up to a total of 10 percent per application for Street Outreach (SO) and 10 percent per application for HP without having to apply separately. The SO and/or HP activity may be subcontracted to another eligible provider or may be provided directly by the Applicant.

**C. Minimum and Maximum Percentage that can be Accessed Noncompetitively for Rapid Rehousing ([25 CCR 8404 \(a\) \(2\)](#))**

A minimum of 40 percent up to a maximum of 50 percent of a CoC's BoS formula allocation may be accessed noncompetitively for RR. Up to two applications may be submitted and awarded. The Department will administer these contracts.

**D. Minimum and Maximum Grant Limits ([25 CCR 8404 \(b\)](#))**

No individual application minimum and maximum grant amounts will be established by the State for the noncompetitive RR set-aside. The amounts of each individual application may be determined by the CoC.

**E. Maximum Number of Applications, Contracts, and Subcontracts ([25 CCR 8404 \(b\)](#))**

1. **Noncompetitive Rapid Rehousing**: Up to two applications may be recommended by the CoC and submitted to the Department. The Department will award no more than two contracts per CoC Service Area.
2. **Subcontracts**: The SO and/or HP activity in the noncompetitive RR application may be subcontracted to another eligible provider or may be provided directly by the Applicant.

***Contracting with, or in any way assigning the ESG grant or grant funds in part or whole to another provider for any activity or activities that is/are not allowed or approved by the Department as part of the ESG funded program, is strictly prohibited.***

The following table summarizes eligible ESG activities under the noncompetitive RR set-aside. Recommended homeless provider applicants for this allocation process can request funding for both ESG and CA ESG funds. CA ESG funds shall be used for the same eligible activities as allowed under [25 CCR 8408](#). In addition, CA ESG funds can also be used for CES activities.

ACTIVITY	ALLOWABLE AMOUNTS AND PERCENTAGES PER APPLICATION UNDER NONCOMPETITIVE RR SET-ASIDE (unless otherwise noted)
<b>Maximum of two applications submitted per CoC</b>	
<b>Rapid Rehousing</b>	<u>Noncompetitive Set-Aside</u> : Per allocation, CoC must elect a minimum of 40 percent and a maximum of 50 percent of their BoS formula allocation.
<b>Street Outreach</b>	Up to a maximum of 10 percent of a total application request when requested in combination with RR. The SO activity may be subcontracted to another eligible provider or may be provided directly by the Applicant.
<b>Homelessness Prevention</b>	Up to a maximum of 10 percent of a total application request when requested in combination with RR. The HP activity may be subcontracted to another eligible provider or may be provided directly by the Applicant.
<b>HMIS</b>	Up to a maximum of 10 percent per application.
<b>Grant Administration</b>	<u>Federal ESG funds</u> : Local government service providers may request up to \$200 per application for federal ESG funds, and <u>State ESG funds</u> : up to 5 percent of the CA ESG funds provided per application.
<b>Centralized or Coordinated Entry System Activities</b> (CA ESG Funds only)	Up to a maximum of 20 percent of their CA ESG BoS formula allocation.

**Note: Applicants are urged to consult the relevant federal and State regulations and CA ESG Guidelines for full requirements associated with each activity.**

### III. **BOS REGIONAL COMPETITION REQUIREMENTS**

The following table summarizes the eligible ESG activities under the regional competition. Recommended provider applicants for this allocation process can request funding for both ESG and CA ESG. CA ESG funds shall be used for the same eligible activities as allowed under [25 CCR 8408](#). In addition, CA ESG funds can also be used for CES activities.

ACTIVITY	ALLOWABLE AMOUNTS AND PERCENTAGES PER APPLICATION UNDER REGIONAL COMPETITION (unless otherwise noted)
<b>Maximum of two applications submitted per CoC</b>	
<b>Rapid Rehousing</b>	<u>Regional Competition</u> : \$75,000 minimum, \$200,000 maximum per application.
<b>Emergency Shelter</b>	\$75,000 minimum, \$200,000 maximum.
<b>Street Outreach</b>	\$75,000 minimum, \$200,000 maximum as a stand-alone activity, or up to a maximum of 10 percent of a total application request, (not to exceed \$20,000) when requested in combination with Rapid Rehousing or Emergency Shelter.
<b>Homelessness Prevention</b>	Up to a maximum of 10 percent of a total application request (not to exceed \$20,000) when requested in combination with Rapid Rehousing or Emergency Shelter.
<b>HMIS</b>	Up to a maximum of 10 percent per application, (not to exceed \$20,000).
<b>Grant Administration</b>	<u>Federal ESG funds</u> : Local government service providers may request up to \$200 per application for federal ESG funds, and <u>State ESG funds</u> : up to 5 percent of the CA ESG funds provided per application.
<b>Centralized or Coordinated Entry System Activities</b> (CA ESG Funds only)	Up to a maximum of 20 percent of their CA ESG BoS formula allocation.

**Note:** Applicants are urged to consult the relevant federal and State regulations and CA ESG Guidelines for full requirements associated with each activity.

**A. Amounts Available for Administrative Activities**

**Grant Administration ([24 CFR 576.108](#); [CA ESG CA ESG Guidelines 102 \(b\)](#))**

Recommended local government service providers may request up to \$200 of federal ESG funds and 5 percent of CA ESG funds to pay for direct administrative or program costs.

**Homeless Management Information System (HMIS) ([24 CFR 576.107](#); [25 CCR 8408](#))**

ESG funds may be used for HMIS activities associated with contributing data derived from RR, ES, SO, and HP activities. As defined in State regulations, HMIS includes the use of a comparable database as permitted by HUD. In no case can costs exceed 10

percent of the total amount requested per application. HMIS activities must comply with HUD's standards on participation, data collection, and reporting. See federal regulations for a complete list of eligible HMIS costs.

### **Coordinated Entry ([CA ESG Guidelines Section 108](#))**

A maximum of 20 percent of an Applicant's CA ESG funds may be used for costs necessary to develop or operate a CES pursuant to the requirements of [24 CFR 576.400\(d\)](#). CES costs that involve capital development activities, such as real property acquisition, construction, or rehabilitation activities, **will not** be eligible costs under CA ESG.

## **B. Eligible Activities**

The following activities are eligible under the BoS regional competition. All Applicants must obtain a recommendation from their CoC. CoCs are responsible for recommending a maximum of two providers in the regional competition.

### **Rapid Re-Housing Assistance ([24 CFR 576.104](#); [25 CCR 8408](#))**

ESG funds may be used to provide housing relocation and stabilization services and short- or medium-term rental assistance as necessary to help a homeless individual or family move as quickly as possible into permanent housing and achieve stability in that housing. RR activities must meet all of the HUD requirements specified at including requirements for:

- eligible program participants;
- eligible costs, including financial assistance costs and services costs;
- maximum amounts and periods of assistance;
- use with other subsidies;
- limitations on maximum rent levels;
- rental assistance agreement with owner;
- lease agreement between owner and participant; and,
- condition of housing where assistance is provided.

In addition to federal requirements, pursuant to [25 CCR 8408](#), no subpopulation targeting will be permitted in RR activities unless documentation of the following is provided to HCD prior to the award of funds for these activities:

1. Evidence of an unmet need for these activities for the subpopulation proposed for targeting; and,
2. Evidence of existing funding in the CoC Service Area for programs that address the needs of the excluded populations for these activities.

Applicants may request up to a total of 10 percent per application for Street Outreach (SO) and 10 percent per application for HP without having to apply separately. The SO and/or HP activity may be subcontracted to another eligible provider or may be provided directly by the Applicant.

## **Emergency Shelter ([24 CFR 576.102](#); [25 CCR 8408](#))**

ESG funds may be used for costs of providing essential services and shelter operations, as defined in federal regulations, to homeless individuals and families in an ES. An ES is any facility where the primary purpose is to provide a temporary shelter for general or specific populations experiencing homelessness and that does **not** require occupants to sign leases or occupancy agreements. Hotel or motel vouchers may **only** be used if there is no other appropriate ES available for a homeless individual or family.

While renovation, major rehabilitation, or conversion are **not** eligible under this NOFA, maintenance activities or [minor repairs](#) to an ESG-funded ES that do **not** qualify as renovation, major rehabilitation, or a conversion are an eligible use of CA ESG funds. Federal regulations prohibit involuntary family separation based on the age of a child under the age of 18. ESG-funded ES activities must operate for at least as long as the term of the ESG grant and must comply with all requirements of [24 CFR 576.101](#), which address maintenance of effort requirements to ensure ESG funds are not replacing local government funds during the immediately preceding 12-month period.

**Day Shelters:** A day shelter must meet the criteria in the ES definition to compete as an ES. The primary purpose of a day shelter must be to provide temporary shelter for persons experiencing homelessness. Facilities such as multi-purpose centers or stand-alone soup kitchens do **not** qualify as ES. Day shelters must target people who are sleeping on the streets, living in places not designed for human habitation, or in an ES. Clients experiencing such homelessness must be permitted to stay at the day shelter during all hours it is open for shelter.

## **Street Outreach ([24 CFR 576.101](#))**

ESG funds may be used for SO activities that include the costs of providing essential services necessary to reach out to unsheltered homeless people, connect these individuals with ES, housing or critical services, and provide urgent, non-facility-based care to unsheltered homeless people who are unwilling or unable to access ES, housing or an appropriate health facility.

Eligible activities include engagement, case management, emergency health and mental health services, transportation, and services for special populations, as defined in the federal regulations. ESG-funded SO activities must operate for at least as long as the term of the ESG grant, and must comply with the requirements at [24 CFR 576.101](#), which address maintenance of effort requirements to ensure ESG funds are not replacing local government funds during the immediately preceding 12-month period.

While SO is an eligible stand-alone activity, it may also be proposed in conjunction with RR or ES. The intention of including this activity with RR or ES is to facilitate reaching and prioritizing persons experiencing homelessness who are unsheltered and living in places not designed for human habitation for services, consistent with Core Practices.

## **Homelessness Prevention ([24 CFR 576.103](#))**

Homelessness Prevention (HP) activities are not eligible as a stand-alone activity, but may be proposed in conjunction with ES (for example, to facilitate shelter diversion) or with RR activities (for example, to facilitate preventing homelessness of a previously assisted individual or family experiencing instability after RR assistance has ended).

ESG funds can provide housing relocation and stabilization services and short or medium term rental assistance to individuals or families “at risk of homelessness,” as defined in [24 CFR 576.2](#), but only to the extent of helping the individuals or families regain housing stability.

In addition to federal requirements, pursuant to [25 CCR 8408](#), no subpopulation targeting will be permitted in HP activities unless documentation of the following is provided to HCD prior to the award of funds for these activities:

1. Evidence of an unmet need for these activities for the subpopulation proposed for targeting; and,
2. Evidence of existing funding in the CoC Service Area for programs that address the needs of the excluded populations for these activities.

### **C. Terms of Assistance**

#### **Minimum and Maximum Grant Awards per Application**

The minimum grant award allowed per application for funds awarded from the regional competition is \$75,000, and the maximum award allowed from the regional competition per application is \$200,000.

#### **Subcontracting Activities**

There are two types of subcontracting permitted under ESG:

1. Subcontracting for services related to carrying out the funded program. Examples: security, supportive services, food services. Federal procurement rules may apply.
2. Up to 10 percent per ES or RR application for SO and 10 percent per ES or RR application for HP activities without having to apply separately for the SO and/or HP component. The SO and/or HP activity may be subcontracted to another eligible provider or may be provided directly by the Applicant.

## D. Application Requirements

### Continuum of Care Application Recommendations

Applications shall only be considered if recommended by the CoC where the activity is proposed. **CoCs are required to submit the CoC Recommendation Package located on [HCD's website](#).** A maximum of **two applications** may be recommended from each CoC for the regional competition.

Recommended applications may not include funding requests of less than \$75,000 or more than \$200,000. In recommending applicants through the regional competition, the CoC must follow a procurement process consistent with [25 CCR 8404 \(a\) \(4\)](#) including but not limited to the following:

1. Conducting a fair and open competition that avoids conflicts of interest in project selection, implementation, and the administration of funds; consider state application eligibility and rating criteria as described in the "[Application Threshold Requirements](#)", "[Application Submittal Requirements](#)", and the "[Rating Criteria](#)" sections below.
2. Selecting an eligible activity or activities to be offered consistent with Core Practices as described in [25 CCR 8408 and 8409](#) and [CA ESG Guidelines Section 109\(b\)](#).

In order to document that the provider selection process meets ESG requirements, the CoC must submit the following:

1. A letter that describes the provider selection process and certifies that their process meets the requirements of [25 CCR 8404, 8408, and 8409](#).
2. The applicant ranking list that shows for each application recommended for funding: (a) the applicant name and address, (b) project name and address, (c) proposed activities and any proposed subpopulation targeting with ESG funds, (d) city(ies) and county(ies) where proposed activities will be provided, and (e) dollar amounts recommended for funding by activity.
3. For each application not recommended for funding: (a) the applicant name and address, (b) project name and address, (c) proposed activities and any proposed subpopulation targeting with ESG funds, (d) city(ies) and county(ies) where the activities were proposed, and (e) dollar amounts requested by activity.

**Failure to submit the required documentation by September 15, 2017 will result in a denial of funds to your CoC Service Area.**

### Application Threshold Requirements

An application must meet threshold requirements as described below to be eligible for rating and ranking.

1. The application is complete and is received by the deadline, as identified in [Section X](#).
2. The Applicant is an eligible applicant as described in [Section IV](#).
3. The Applicant is recommended by the CoC from the Service Area where the activity is proposed, as documented by the certification of approval from the CoC. The CoC can recommend no more than two applications.
4. The application includes a funding request of at least \$75,000 but not more than \$200,000.
5. Proposed activities are eligible pursuant to [Section II](#).
6. Applicant has site control documented for any application proposing ES activity.
7. The application includes a Certification of Written Standards for the proposed activities adopted by the CoC, as described in [Section VII](#).
8. For private non-profit organizations proposing ES activities, the application includes a Certification of Local Approval (Application Attachment C) completed and signed by the city or county where the activity is located, as required by [24 CFR 576.202 \(a\) \(2\)](#).
9. The application includes a completed Authorizing Resolution approved by the the Applicant's governing board. The Authorizing Resolution designates a person or persons responsible for, and authorized to execute, all documents related to the application of ESG funds and submittal of funds requests.
10. Applicants have identified dollar-for-dollar match for the federal ESG funding with funds from other public or private sources as required by [24 CFR 576.201](#). There is no match requirement for CA ESG funds. However, CA ESG funds can be used to match federal ESG funds when requested for the same activity.

An application may be deemed **ineligible** if the application does not meet threshold requirements or if the application is incomplete and HCD cannot determine compliance with threshold requirements. HCD may also request clarification of unclear or ambiguous statements made in the application and other supporting documents where doing so will not affect the competitive scoring of the application. HCD may also request documentation necessary for compliance with federal or State requirements, provided such documentation is not used for scoring purposes. HCD may request that an Applicant revisions do not affect the competitive scoring revise application documents as necessary to establish compliance, provided such of the application.

**E. Rating Criteria (25 CCR 8406-8407)**

All applications meeting the threshold requirements will be rated based on the following criteria.

<u>Factor</u>	<u>Criteria</u>	<u>Max Points</u>
<b>Applicant Experience</b>	Length of experience implementing the proposed eligible activity or activity similar to the proposed activity.	20
	<p>For Applicants who have received ESG funding in at least one of the past three years (2014, 2015, or 2016), <b>a maximum of 20 points will be deducted</b> for the following:</p> <ul style="list-style-type: none"> <li>• HCD has terminated or disencumbered ESG grant funding;</li> <li>• the Applicant has unresolved monitoring findings in ESG that pose a substantial risk to HCD; and,</li> <li>• the Applicant has submitted annual reports in a timely manner for ESG grants.</li> </ul>	-20
<b>Program Design</b>	<p>Quality of the proposed program in delivering eligible activities to participants consistent with CoC's written standards and Core Practices.</p> <p>In making determinations under this rating factor, HCD may examine such things as CoC written standards for the proposed activity; provider guidelines governing activity operations; program rules for clients; and the reasonableness of program staffing patterns and the activity budget relative to program design, target population, and local conditions.</p>	20
<b>Need for Funds</b>	<p>Need for funds is based on whether the application activity and subpopulation targeting, if any, meets a high need for the community as identified by the CoC, in a manner that is consistent with Core Practices.</p> <p>Need is supported by data and analysis provided by the CoC, including but not limited to HMIS data and data from the most recent point-in-time count of homeless persons published by HUD.</p>	10

<u>Factor</u>	<u>Criteria</u>	<u>Max Points</u>
<b>Impact and Effectiveness</b>	<p>Scoring for the performance outcomes in the Impact and Effectiveness rating factor will be evaluated using data from HMIS for federal fiscal year 2015-16, or for those projects not in operation during this entire time, the most recent 12 month period. For data coming from Victim Service Providers, data from a HUD-compliant comparable database may be used.</p> <p><u>Project-Level Performance Data</u> The project level measures are as follows: 1) Average length of project participation for individual leavers, 2) Leavers exiting to permanent housing. Scores assigned will be based on relative success rate. For project-level performance metrics, programs of the same activity type (i.e. SO, ES, RR) will only be compared against programs of that same activity-type.</p> <p><u>System-Level Performance Data</u> Reports submitted by the CoC must be consistent with HUD's May 2015 System Performance Measures. Data for Measures 1, 2, 3, 5 and 7 must be submitted to HCD. Scoring will solely be based on the degree to which the CoC produces the information. The results of the data collected in these reports will not be scored for the 2017 NOFA funding round.</p>	30
<b>Cost Efficiency</b>	<p>Using HMIS and expenditure data for federal fiscal year 2016 (October 1, 2015-September 30, 2016), or for those programs not in operation during this entire time, the most recent 12 month period, applications will be evaluated based on the average cost per exit to permanent housing based on the total program expenditures for the proposed activity and the number of exits to permanent housing.</p> <p>For data coming from Victim Service Providers, data from a HUD-compliant comparable database may be used</p> <p>HCD may require documentation to verify the accuracy of the information provided by the Applicant. Such documentation shall be provided upon the request of HCD.</p>	10

<u>Factor</u>	<u>Criteria</u>	<u>Max Points</u>
<b>State Objectives</b>	No points for the State objectives will be given for the 2017 NOFA funding round.	0
<b>TOTAL</b>		<b>90</b>

## Application Selection

Applications will be ranked based on their score as follows:

1. Within each regional allocation, applications will be ranked in descending order and awarded the amount requested in the application or a revised amount if necessary to conform to funding limits in the NOFA. In the event of a tie between applicants within a regional allocation, funds will be awarded to the applicant who scored the most points in the Impact and Effectiveness rating factor.
2. When there are insufficient funds to fully fund the next highest ranked application, this application may be partially funded if the approved activities can be adequately performed with the remaining ESG allocation [25 CCR 8407 \(b\)\(3\)](#).
3. ESG funds remaining in a regional allocation may be made available for the highest ranked unfunded applications in the other regional set-asides. HCD may elect to not award remaining funds and hold for distribution in the next NOFA or may reallocate the funds to all CoCs pursuant to the funding formula under [25 CCR 8407 \(b\)\(2\)](#).

Applicants are advised that, if funded, their application will be incorporated by reference into the Standard Agreement. HCD will carefully examine the Applicant's records at the time of grant monitoring to determine the accuracy of statements made in their application. If it is determined that an Applicant falsified any certification or application information, HCD reserves the right to require reimbursement of the full amount of the ESG award, and to prohibit further participation in the ESG program. HCD may also impose any other actions permitted under [24 CFR 576.501 \(c\)](#).

## Appeals

Time is of the essence in awarding federal ESG funds. Any funding delays could result in the loss of all ESG funding received by HCD. Therefore, *HCD's funding decisions are final and are not subject to appeal.*

## IV. ELIGIBLE APPLICANTS

Applicants seeking funding under this NOFA must either be private non-profit organizations or units of general-purpose local governments proposing to provide ESG-eligible activities within a CoC Service area as listed in Appendix A. In addition, all Applicants must have a

Dun and Bradstreet Universal Numbering System (DUNS) number. Applicants must list their DUNS number in the ESG application ([FORM 2: APPLICANT CONTACT INFORMATION](#)).

Private non-profit organization, as defined in [24 CFR 576.2](#), is an organization that: 1) is a secular or religious organization described in Section 501(c) of the Internal Revenue Code of 1986 and which is exempt from taxation under subtitle A of the Codes; 2) has an accounting system; 3) has a voluntary board; 4) practices nondiscrimination in the provision of assistance; and 5) does not include a governmental organization, such as a public housing agency or housing finance agency.

Faith-based organizations receiving ESG funds, like all organizations receiving HUD funds, must serve all eligible program participants without regard to religion.

Units of general-purpose local governments, as defined in [24 CFR 576.2](#), are any city, county, town, township, parish, village, or other general purpose political subdivision of a state. Units of general-purpose local governments applying for ESG funding for emergency shelter and/or street outreach activities must not replace funds the local government provided for these activities during the immediate preceding 12-month period, except in situations of severe financial deficit as determined by HUD.

## V. ELIGIBLE COSTS

Grantees and their recommended subgrantees must follow all the Office of Management and Budget (OMB) Cost Principles and general accounting principles. The OMB requirements are listed in [2 CFR Part 200](#). Costs charged to the ESG program must be allowable, allocable and reasonable.

Allowable costs must conform to any limitations or exclusion set forth in the federal cost principles and the ESG award. In addition, allowable costs must comply with the policies and procedures afforded all activities within the Grantee or subgrantee organization and must be accorded consistent treatment (whether as an indirect or direct cost). Finally, allowable costs must comply with the Generally Acceptable Accounting Principles (GAAP) and the costs must be adequately documented.

Allocable costs are those treated consistently with other costs incurred for the same purpose in like circumstances, and these costs must meet the requirements listed in 2 CFR Part 200.405.

Shifting costs between awards to overcome funding deficiencies is not allowed. A cost is reasonable if, in its nature or amount, it does not exceed a cost that would be incurred by a prudent person under the circumstances prevailing at the time a decision was made to incur the cost.

Eligible costs may be direct or indirect. They must be incurred for the same purpose in like circumstances and must be treated consistently as either direct or indirect costs.

Direct costs are those costs that can be identified specifically with a particular final cost objective (such as the ESG award) and can be directly assigned to an activity relatively easily with a high degree of accuracy.

Indirect costs are those that have been incurred for common or joint objectives and cannot be readily identified with a particular final cost objective or activity.

The Department will reimburse Grantees for indirect costs at a “de minimus rate” based on the Modified Total Direct Cost (MTDC), unless the Grantee has a federally authorized indirect cost rate agreement. If the Grantee has a federally authorized indirect cost rate agreement, the Department will reimburse Grantees for indirect costs at the authorized rate. If an Applicant is requesting an indirect cost rate higher than 10 percent on the basis of a federally authorized indirect cost rate agreement, that agreement must be submitted with their application.

Grantees that will seek reimbursement for any indirect costs must comply with all OMB requirements including 2 CFR 200.403 and Part 200 Appendix 4. Grantees records must include evidence of the MTDC calculations, indirect cost limits, and supporting documentation for actual direct cost billing.

## VI. **IMPLEMENTATION REQUIREMENTS**

### **Core Practices ([25 CCR 8409; CA ESG Guidelines Section 109](#))**

Use of Coordinated Entry: Unless exempted by federal rules, all ESG funded activities shall utilize a CES established by the CoC in a manner that promotes the following:

- A. Comprehensive and coordinated access to assistance regardless of where an individual or family is located in the CoC Service Area. Local systems should be easy to navigate and have protocols in place to ensure immediate access to assistance for people who are experiencing homelessness or are most at-risk;
- B. Prioritized access to assistance for people with the most urgent and severe needs, including but not limited to survivors of domestic violence. ESG-funded activities shall seek to prioritize people who:
  - are unsheltered and living in places not designed for human habitation;
  - have experienced the longest amount of time homeless;
  - have multiple and severe services needs that inhibit their ability to quickly identify and secure housing on their own; and,
  - for HP activities, are at greatest risk of becoming Literally Homeless<sup>6</sup> without an intervention and are at greatest risk of experiencing a longer time in emergency shelter or on the street should they become homeless.

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I. **[6 The Homeless Definition and Eligibility for SHP, SPC, and ESG, “Homeless Definition”](#)**

Housing First Practices: All ESG assisted projects shall operate in a manner consistent with housing first practices as reflected in the CoC written standards and progressive engagement and assistance practices, including the following:

- A. Ensuring low-barrier, easily accessible assistance to all people, including but not limited to people with no income or income history and people with active substance abuse or mental health issues.
- B. Helping participants quickly identify and resolve barriers to obtaining and maintaining housing.
- C. Seeking to quickly resolve the participant's housing crisis before focusing on other non-housing related services.
- D. Allowing participants to choose the services and housing that meets their needs, within practical and funding limitations.
- E. Connecting participants to appropriate supports and services available in the community that foster long-term housing stability.

Progressive Engagement: Offering financial assistance and supportive services in a manner that offers a minimum amount of assistance initially, adding more assistance over time if needed to quickly resolve the participant's housing crisis by either ending homelessness, avoiding an immediate return to becoming Literally Homeless, or avoiding the imminent risk of becoming Literally Homeless. The type, duration, and amount of assistance offered shall be based on an individual assessment of the household, and the availability of other resources or support systems to resolve their housing crisis and stabilize them in housing.

RR and HP Terms of Assistance: RR activities funded within the same CoC Service Area shall follow the same program requirements for type, duration, and amount of assistance provided, unless the CoC provides sufficient written justification for any differences and these are approved by HCD. HP activities funded within the same CoC Service Area shall follow the same program requirements for type, duration, and amount of assistance provided, unless sufficient written justification for any differences is provided by the CoC and HCD approves such justification.

Cultural Competency: All service providers receiving CA ESG funds shall take actions to create an effective, welcoming and affirming environment for all program participants and employees, including but not limited to persons of different races, ethnicities, religions, sexual orientations, gender identities, and gender expressions, (CA ESG Guidelines Section 109(b)).

**Written Standards ([24 CFR 576.400\(e\)](#); [25 CCR 8409](#))**

Funded activities must operate consistently with written standards currently adopted by the CoC and applicable to all similar activities. In general, written standards address such things as policies and procedures for evaluating eligibility, targeting and prioritizing services, length and terms of assistance, coordination among services, and participation in HMIS. Applicants should consult the federal regulations for what should be addressed in written standards for each activity.

In addition, State regulations require that written standards reflect Core Practices in [25 CCR Section 8409](#), including:

- A. Protocols for use of coordinated entry to promote comprehensive and coordinated access to assistance and prioritized access to assistance for people with the most urgent and severe need;
- B. Use of housing first and progressive engagement practices; and,
- C. Consistent program requirements governing decisions around type, duration, and amount of assistance provided if multiple RR or HP programs are operated within the same Service Area.

**Match ([24 CFR 576.201](#); [25 CCR 8410](#))**

Grantees must make matching contributions in an amount that equals the amount of federal ESG funds awarded. For CA ESG funding, Grantees are not required to match these funds. CA ESG funds may be used for the required match for the federal ESG funds as long as they are for the same approved activity. HCD may request documentation as part of program monitoring in order to determine the sources and amounts used to meet the federal ESG matching requirement.

Matching contributions may be obtained from any source, including any federal source other than the ESG program, as well as State, local, and private sources. However, the following requirements apply to matching contributions from a federal source of funds:

- A. Laws governing any funds to be used as matching contributions do not prohibit those funds from being used to match ESG funds.
- B. If ESG funds are used to satisfy the matching requirements of another federal program, then funding from that program may not be used to satisfy the matching requirements as described in [24 CFR 576.201](#).

## **VII. CONTRACT EXPENDITURE AND OBLIGATION REQUIREMENTS**

All of the Grantee's ESG funds must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with the Department.

Grantees may request an advance of 30 days working capital or \$5,000, whichever is greater, after the Standard Agreement with HCD is fully executed. Otherwise, all funding requests are on a reimbursement-only basis.

All eligible costs incurred after the date of the Award Letter issued to the Grantee by HCD are reimbursable. Grantees shall not expend any funds requiring reimbursement **prior** to the Award Letter. In addition, no funds shall be expended until any required environmental review process has been completed, if required under.

Requests for disbursement must be made at least quarterly. HCD may establish minimum reimbursement amounts, or other related procedures necessary for the efficient administration of the ESG Program.

## **VIII. ADDITIONAL FEDERAL REQUIREMENTS**

### **A. General ([24 CFR 576.407\(a\)](#))**

The requirements in 24 CFR part 5, subpart A are applicable, including the nondiscrimination and equal opportunity requirements at 24 CFR 5.105(a). Section 3 of the Housing and Urban Development Act of 1968 and implementing regulations at 24 CFR part 135 apply, except that homeless individuals have priority over other Section 3 residents in accordance with [24 CFR 576.405\(c\)](#).

### **B. Homeless Participation ([24 CFR 576.405](#))**

The Grantee must provide for the participation of at least one homeless individual or formerly homeless individual on the Grantee's Board of Directors or other equivalent policy-making entity, to the extent the entity considers and makes policies and decisions regarding any facilities, services or other assistance that receives funding under ESG.

### **C. Program Termination ([24 CFR 576.402](#))**

Consistent with the written standards, if an individual or family receiving ESG assistance violates program requirements, the Grantee may terminate assistance. The Grantee is required to terminate assistance in accordance with a formal written process that has been established and that recognizes the rights of individuals or families affected. The Grantee must exercise judgment and examine all extenuating circumstances in determining when violations warrant termination so that a program participant's assistance is terminated only in the most severe cases.

D. Program Administration ([24 CFR 576.500](#))

Grantees must demonstrate the financial management and programmatic expertise to successfully develop, design, implement, and monitor the proposed activity or activities. This expertise can be demonstrated through previous experience in successfully developing projects similar to the one proposed, by either partners or key staff within the Grantee's organization.

E. Faith-Based Activities ([24 CFR 576.406](#))

Religious organizations may receive ESG funds if they agree to provide all eligible activities under this program in a manner that is in accordance with 24 CFR 576.406. Organizations that are religious or faith-based are eligible, on the same basis as any other organization, to receive ESG funds. Organizations that are directly funded under the ESG Program may not engage in inherently religious activities, such as worship, religious instruction, or proselytization as part of the programs or services funded under ESG. Refer to 24 CFR 576.406 for additional details.

F. Affirmative Outreach ([24 CFR 576.407](#))

The Grantee must make known that the use of the facilities, assistance, and services are available to all on a nondiscriminatory basis. If it is unlikely that the procedures the Grantee intends to use to make known the availability of its facilities, assistance, and services will reach persons of any particular race, color, religion, sex, age, national origin, familial status, or disability who may qualify for those facilities and services, the Grantee must establish additional procedures ensure that those persons are made aware of these facilities, assistance, and services.

The Grantee must take appropriate steps to ensure effective communication with persons with disabilities including, but not limited to, adopting procedures that will make available to interested persons information concerning the location of assistance, services, and facilities that are accessible to person with disabilities. Consistent with Title VI and Executive Order 13166, Grantees are also required to take reasonable steps to ensure meaningful access to programs and activities for Limited English Proficiency (LEP) persons.

All Applicants should refer to the HUD guidelines on LEP located at the following webpage:

[http://portal.hud.gov/hudportal/HUD?src=/program\\_offices/fair\\_housing\\_equal\\_opp/promotingfh/lep-faq](http://portal.hud.gov/hudportal/HUD?src=/program_offices/fair_housing_equal_opp/promotingfh/lep-faq)

G. Uniform Administrative Requirement ([24 CFR 576.407\(c\)](#))

The requirements of 24 CFR Part 85 apply to the Grantees that are units of general purpose local governments, except that [24 CFR 85.24](#) and [85.42](#) do not apply, and program income is to be used as match under [24 CFR 85.25 \(g\)](#). The requirements of

[24 CFR Part 84](#) apply to Grantees that are private non-profit organizations, except that [24 CFR 84.23](#) and [84.53](#) do not apply, and program income is to be used as the non-federal share under [24 CFR 84.24 \(b\)](#). These regulations include allowable costs and non-federal audit requirements.

H. Environmental Review Responsibilities ([24 CFR 576.407\(d\)](#))

The Grantee shall supply all available, relevant information necessary for HCD to perform for each property any environmental review as required. The Grantee shall also carry out mitigating measures required by HCD or select an alternate eligible property. HCD may eliminate from consideration any application that would require an environmental impact statement (EIS).

The Grantee, or any contractor of the Grantee, may not acquire, rehabilitate, convert, lease, repair, dispose of, demolish, or construct property for a project, or commit or expend ESG or local funds for eligible activities under this part, until HCD has performed an environmental review under and the Grantee has received HCD's approval of the property. For all funded applications, HCD will inform the Grantee of any required additional environmental review

I. Procurement of Recovered Materials ([24 CFR 576.407\(f\)](#))

The Grantee and its contractors must comply with [Section 6002](#) of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired by the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

J. Shelter and Housing Standards ([24 CFR 576.403](#))

The revised standards for ES require all shelters to meet minimum habitability standards related to safety, sanitation, and privacy. ES standards address structural soundness, access, space and security, interior air quality, water supply, sanitary facilities, thermal environment, illumination and electricity, food preparation, sanitary conditions, and fire safety. Lead-based paint remediation and disclosure requirements apply.

If ESG funds are used to help program participants remain in or move into permanent housing, that housing must meet minimum standards that address: structural soundness; space and security; interior air quality, water supply, sanitary facilities, thermal environment, illumination and electricity, food preparation, sanitary conditions, and fire safety. Lead-based paint remediation and disclosure requirements apply. See 24 CFR 576.403 for full details.

K. Recordkeeping and Reporting Requirements ([24 CFR 576.500](#))

Grantees must have policies and procedures to ensure that recordkeeping and reporting requirements are met. The policies and procedures must be established in writing and implemented to ensure that ESG funds are used in accordance with the requirements. In addition, sufficient records must be established and maintained to enable HCD and HUD to determine whether ESG requirements are being met. Refer to 24 CFR 576.500 for additional details.

L. Enforcement ([24 CFR 576.501](#))

HCD will review the performance of Grantees in carrying out its responsibilities under this Part whenever determined necessary by HUD, but at least annually. In conducting performance reviews, HUD will rely primarily on information obtained from the records and reports from HCD, and when appropriate its Grantees, as well as information from onsite monitoring, audit reports, and information from the Integrated Disbursement & Information System (IDIS) and HMIS. HUD may also consider relevant information pertaining to HCD's, and its Grantees, performance gained from other sources, including citizen comments, complaint determinations, and litigation. Reviews to determine compliance with specific requirements of this Part will be conducted as necessary, with or without prior notice to HCD or its Grantees. Refer to 24 CFR 576.501 for additional details.

M. Other Federal Requirements ([24 CFR 576.407](#) and [576.500](#))

Grantees must be able to meet all federal requirements relative to the ESG program, specifically those concerning equal opportunity and fair housing, affirmative marketing, environmental review, displacement, relocation, acquisition, labor, lead-based paint, conflict of interest, debarment and suspension. Pertinent federal requirements are noted in federal ESG interim rules and all Applicants should be aware that if funded, these requirements would apply.

**IX. APPLICATION SUBMITTAL REQUIREMENTS**

One hardcopy application package with wet, original signatures and one USB flash drive that includes a copy of the application package with signatures must be received by HCD on or before the application deadline.

Applications will be accepted beginning the first business day following release of this NOFA and must be received by HCD no later than **5:00 p.m. Pacific Standard Time on September 15, 2017**. HCD will only accept applications through postal carriers such as U.S. Postal Service, UPS, FedEx or other carrier services that provide date stamp verification confirming delivery to HCD’s office. Please contact HCD if delivery is not completed by fault of the private courier/U.S. Postal Service. **Personal deliveries are not accepted**. The delivery address is:

Department of Housing and Community Development  
Division of Financial Assistance  
**Emergency Solutions Grants Program**  
**NOFA Section, ESG Program**  
2020 West El Camino Avenue, Suite 500  
Sacramento, CA 95833

Applications that do not meet the filing deadline requirements will not be eligible for funding. Applications must be on HCD forms and cannot be altered or modified by the Applicant.

**X. IMPORTANT DATES**

<b>August 1, 2017</b>	ESG NOFA and Application for the BoS Allocation released
<b>September 15, 2017</b>	Application deadline. <b><i>HCD will <u>not</u> grant any extensions.</i></b>
<b>November/December 2017</b>	Department announces BoS Awards
<b>January/February 2017</b>	Department issues Standard Agreements with BoS homeless service providers

**Questions regarding the ESG NOFA and Application process can be directed to:**

Janette Schaake, HCD NOFA Representative (916) 263-2331, or [Janette.schaake@hcd.ca.gov](mailto:Janette.schaake@hcd.ca.gov), Connie Mallavia, (916) 263-2711, [Connie.Mallavia@hcd.ca.gov](mailto:Connie.Mallavia@hcd.ca.gov), or Cheryl Jeffreys, (916) 263-6422, [Cheryl.Jeffreys@hcd.ca.gov](mailto:Cheryl.Jeffreys@hcd.ca.gov).

**XI. APPENDIX A: ESG ESTIMATED BOS FORMULA ALLOCATION**

<b>2017 Balance of State Allocation</b>					
	<b>CoC #</b>	<b>CoC Name</b>	<b>CA ESG Allocation (SB 831, Section 72)</b>	<b>2017 Federal ESG Formula Allocation</b>	<b>Total ESG Allocation</b>
<b>Bay Area Allocation</b>					
1	CA-507	Marin County CoC	\$ 125,296	\$ 237,877	\$ 363,173
2	CA-517	Napa City & County CoC	\$ 99,729	\$ 144,461	\$ 244,190
3	CA-508	Watsonville/Santa Cruz City & County CoC	\$ 193,445	\$ 350,572	\$ 544,017
			<b>\$ 418,470</b>	<b>\$ 732,910</b>	<b>\$ 1,151,380</b>
<b>Central and Imperial Valley</b>					
1	CA-521	Davis/Woodland/Yolo County CoC	\$ 190,460	\$ 284,347	\$ 474,807
2	CA-525	El Dorado County CoC	\$ 99,947	\$ 142,641	\$ 242,588
3	CA-613	Imperial County CoC	\$ 208,544	\$ 298,451	\$ 506,995
4	CA-615	Inyo, Mono, Alpine Counties CoC	\$ 87,245	\$ 110,346	\$ 197,591
5	CA-520	Merced City & County CoC	\$ 247,295	\$ 369,482	\$ 616,777
6	CA-515	Roseville/Rocklin/Placer, Nevada Counties CoC	\$ 120,709	\$ 211,910	\$ 332,619
7	CA-513	Visalia, Kings, Tulare Counties CoC	\$ 264,408	\$ 420,064	\$ 684,472
			<b>\$ 1,218,608</b>	<b>\$ 1,837,331</b>	<b>\$ 3,055,939</b>
<b>Northern Allocation</b>					
1	CA-526	Amador, Calaveras, Tuolumne and Mariposa Counties CoC	\$ 126,503	\$ 182,044	\$ 308,547
2	CA-519	Chico/Paradise/Butte County CoC	\$ 205,323	\$ 302,740	\$ 508,063
3	CA-523	Colusa, Glenn, Trinity Counties CoC	\$ 153,148	\$ 196,621	\$ 349,769
4	CA-522	Humboldt County CoC	\$ 210,150	\$ 324,392	\$ 534,542
5	CA-529	Lake County CoC	\$ 212,358	\$ 282,078	\$ 494,436
6	CA-509	Mendocino County CoC	\$ 195,778	\$ 287,760	\$ 483,538
7	CA-516	Redding/Shasta, Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC	\$ 184,696	\$ 287,761	\$ 472,457
8	CA-527	Tehama County CoC	\$ 159,660	\$ 205,497	\$ 365,157
9	CA-524	Yuba City & County/Sutter County CoC	\$ 183,247	\$ 265,585	\$ 448,832
			<b>\$ 1,630,863</b>	<b>\$ 2,334,478</b>	<b>\$ 3,965,341</b>
			<b>\$ 3,267,941</b>	<b>\$ 4,904,629</b>	<b>\$ 8,172,570</b>

\*Sacramento City & County and Vallejo/Solano County CoCs are partnering for State ESG funds, and must administer 100 percent of the available ESG funds for RR activities