

**REQUEST FOR PROPOSALS FOR
CATALYST PROJECTS FOR CALIFORNIA
SUSTAINABLE STRATEGIES
PILOT PROGRAM**

GUIDELINES & APPLICATION



In partnership with:



In cooperation with:

The Governor's Office of Planning and Research
California Air Resources Board
California Housing Finance Agency
California Infrastructure Bank
California Energy Commission
California Department of Public Health
California Environmental Protection Agency
California Department of Forestry and Fire Protection
California Department of Parks and Recreation

STATE OF CALIFORNIA

December 2009

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PART I: INTRODUCTION

Approximately 6 development projects will be selected as Catalyst Projects in communities throughout California to incentivize sustainable communities and test innovative strategies designed to increase housing supply and affordability; improve jobs and housing relationships; stimulate job creation and retention; enhance transportation modal choices that reflect community values, preserve open space and agricultural resources; promote public health; eliminate toxic threats; address blighted properties; reduce green house gas emissions and increase energy conservation and independence. The Catalyst Projects for California Sustainable Strategies Pilot Program (Program) will maximize California's ability to leverage public and private financial resources including local, State and federal funds. Catalyst Projects will position California to effectively participate and compete for any new federal initiatives resulting from the federal Department of Transportation, Housing and Urban Development and Environmental Protection Agency's Partnership for Sustainable Communities.

As California embarks on a new planning paradigm with Chapter 728, Statutes of 2008 (SB 375), the State must support innovation and creativity at the local level. Meeting the goals and objectives of SB 375 require local governments to adopt supportive land-use plans and integrated strategies and to develop Catalyst Projects that can transform communities and increase long term prosperity. While many jurisdictions support the goals of SB 375 and Chapter 488, Statutes of 2006 (AB 32), current economic and fiscal conditions constrain the ability of local governments to adopt new plans and approve innovative developments. In addition, even willing local partners need proven models and strategies to most effectively and efficiently achieve their economic, housing, transportation, and environmental objectives. The Program will enable the State to support local innovation, develop projects that integrate environmental, economic and housing goals and test strategies for broad implementation throughout the California.

The Catalyst Project will be required to identify synergies, address barriers, collect data and measure the effectiveness of their sustainable communities' strategies, and develop an outline of how strategies and tools may be used by others. A team of State, regional, and local officials will evaluate progress on at least a yearly basis and compile a report at the end of a one-year, three-year and five-year period. Timely evaluations will enable dissemination of information about any identified effective strategies in a timely manner.

Pilot Program Incentives and Reward Package:

Successful applicants to become a Catalyst Project will be eligible for a variety of resources and technical assistance, including but not limited to:

- access to specified State funding resources and priority or bonus points for specified State funds;
- targeted Technical Assistance from teams of State agencies; and
- other assistance as appropriate.

The major focus of this Program is to support innovation and test sustainable strategies on the ground with actual development projects. Projects that demonstrate cooperative and scale-appropriate methods and strategies that reflect the interdependence of environmental, economic and community health will be most competitive.

PART II: PROGRAM REQUIREMENTS

ELIGIBLE APPLICANTS

Any California county, city, or city and county are eligible applicants.

BASIC REQUIREMENTS

To qualify for the Catalyst Project, a local government applicant must meet all of the threshold requirements and achieve at least four of the California Sustainable Strategies Policy Objectives identified from the list (see page 6). For the purpose of the Program, Catalyst Projects should:

- Remove barriers to development of transformative projects.
- Demonstrate a high level of transferability.
- Promote effective jobs and housing relationships.
- Integrate sustainability and economic development plans.
- Demonstrate significant resource, economic, and environmental benefits.
- Provide opportunities for community engagement and cross-sector collaboration.

APPLICATION REVIEW AND CATALYST PROJECT PILOT AWARD PROCESS

WHEN TO APPLY

- Applications will be accepted any time after issuance of this RFP.
- All application must be received in hand by:

5:00 p.m. on February 11, 2010 at

CALIFORNIA DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT
DIVISION OF HOUSING POLICY DEVELOPMENT
1800 Third Street, Room 430
Sacramento, CA 95811

All timely received applications will be evaluated pursuant to the evaluation process and criteria set forth below. **FACSIMILE TRANSMISSIONS WILL NOT BE ACCEPTED.**

An Interagency Review Team will evaluate and score the proposals based upon the requirements described in this RFP. All applications that meet threshold requirements will be scored and listed in rank order. Awards will be made to the highest scoring application for each of the four major MPOs (SCAG, SANDAG, ABAG/MTC, and SACOG) and the San Joaquin Valley to the extent such an award is reasonable and consistent with the purposes of this RFP. HCD anticipates selecting approximately 6 Catalyst Projects depending on the number and quality of awards. All applications must document how the proposed Catalyst Project will meet the requirements and priorities identified in Sections C, D, E, and F below.

HCD reserves the right, at its sole discretion, to suspend, amend, or modify the provisions of this RFP. If such an action occurs, HCD will notify all interested parties.

APPLICATION CONTENT AND SCORING CRITERIA

Each application shall contain the information described below in items A through G. Applicants must submit an original and two (2) copies of the application to HCD, including all supporting materials.

A. Application Face Sheet -- completed -- (Attachment #1)

B. Catalyst Project Pilot Summary Statement (Attachment #2)

Summarize the overall goals and objectives of the Catalyst Project Pilot and how it meets the objectives of the Catalyst Project for Sustainable Strategies Pilot Program. The statement should not be longer than one full page and should be suitable for use in a database or brochure (Font size must be at least 11 point).

C. Threshold Requirements (Attachment #3)

All applications must meet threshold requirements.

1. The application must demonstrate how the local government applicant or Catalyst Project meets each of the following threshold requirements:
 - a. *Scale of the Catalyst Project Pilot.* Eligible projects must meet one of either:
 - i. *Livable Communities:* must include at least 1,000 planned housing units and demonstrate an effective jobs and housing relationship, including housing affordability relative to wages. **-- OR --**
 - ii. *Revitalization Communities:* must include development, preservation, or rehabilitation of at least 250 planned housing units, including housing affordable to lower-income households.
 - b. *Housing Element Compliance* (demonstrated by a finding of compliance by the California Department of Housing and Community Development prior to draw down of Funds);
 - c. Demonstrate how the project is consistent with the State's Planning Priorities pursuant to Government Code Section 65041.1.
 - d. Describe how the project supports the general goals of a Sustainable Community Strategy pursuant to SB 375 including Government Code Section 65080(b)(2)(B) in terms of the project's design and access to a variety of transportation modes.
 - e. The Catalyst Project must demonstrate the commitment of a private sector partner as demonstrated by a letter of support from the private sector partner.
 - f. The local government applicant must demonstrate commitment to identify and address regulatory barriers to transformative projects including any regulatory relief to facilitate housing and job development.

D. California Sustainable Communities Policy Objectives (Attachment #4)

A maximum of 100 points available

Between 1 and 10 points will be awarded for each policy objective the application successfully demonstrates will be addressed by the Catalyst Project. Points will be awarded based on the extent of projected benefits. Catalyst Projects that address objectives of the California Strategic Growth Council or energy efficiency (noted in **bold** below) will be eligible for a maximum of 15 points per Strategic growth or energy efficiency objective.

Applications must demonstrate how the proposed Catalyst Project will address at least four of the following California Sustainable Strategies Policy Objectives:

- Interjurisdictional/regional collaboration including implementing Regional Blueprint goals and objectives;
- **Quantifiable reduction in greenhouse gas emissions;**
- **Increased supply of housing affordable to the workforce and special needs populations (e.g., the elderly, disabled or large families);**
- **Transportation/transit improvements;**
- **Includes one or more transit-oriented developments with housing;**
- Reduce automobile use and fuel consumption;
- **Energy efficiency, conservation or renewable energy;**
- Promote effective waste management/recycling;
- **Revitalizing neighborhoods and community centers;**
- **Protection of natural resources and/or agricultural land;**
- Improved availability of parks and/or open space in areas with below average availability;
- **Improved water and/or air quality;**
- Reduction in toxic threats;
- Promote water conservation;
- Promote the objectives of a Regional Economic Recovery Work Plan (RERWP) or an adopted local economic development strategy or plan consistent with the objectives of a RERWP;
- Creation of green jobs and/or industries;
- Increased infill and/or compact development, including housing, in rural, suburban, and urban areas;
- Efficient use of existing infrastructure (roadways, sewer, water, and bridges) and plan to accommodate increased capacity;
- Increased access to broadband technologies;
- Development of integrated/joint use facilities and services (such as schools and parks);
- **Promote public health and healthy communities, including walkable neighborhoods.**

For each policy area selected, the application must include qualitative and quantitative measures to document how the Catalyst Project will achieve or promote the Sustainable Strategies Objectives. The application must also describe how achievements will be measured and evaluated.

Proposed Catalyst Projects that demonstrate consistency with at least five of the Federal Partnership for Sustainable Communities six livability principles (described on next page) will be eligible for 5 bonus points:

- **Provide more transportation choices** -- Develop safe, reliable and economical transportation choices to decrease household transportation costs, reduce our nation's dependence on foreign oil, improve air quality, reduce greenhouse gas emissions and promote public health.
- **Promote equitable, affordable housing** -- Expand location- and energy-efficient housing choices for people of all ages, incomes, races and ethnicities to increase mobility and lower the combined cost of housing and transportation.
- **Enhance economic competitiveness** -- Improve economic competitiveness through reliable and timely access to employment centers, educational opportunities, services and other basic needs by workers as well as expanded business access to markets.
- **Support existing communities** -- Target federal funding toward existing communities -- through such strategies as transit-oriented, mixed-use development and land recycling -- to increase community revitalization, improve the efficiency of public works investments, and safeguard rural landscapes.
- **Coordinate policies and leverage investment** -- Align federal policies and funding to remove barriers to collaboration, leverage funding and increase the accountability and effectiveness of all levels of government to plan for future growth, including making smart energy choices such as locally generated renewable energy.
- **Value communities and neighborhoods** -- Enhance the unique characteristics of all communities by investing in healthy, safe and walkable neighborhoods -- rural, urban or suburban.

For more information about the Federal Partnership for Sustainable Communities, visit their website at <http://www.epa.gov/dced/partnership/index.html>.

E. Catalyst Project Readiness (Attachment #5)

A maximum of 25 points available

Applications will be evaluated based on the readiness of the Catalyst Project to proceed and achieve the Sustainable Strategies Objectives. Readiness must be demonstrated by submission of a projected timeline, status of needed entitlements, timing of all regulatory permits, budget, and funding commitments and community support, etc.

For your information, regarding readiness, the expenditure deadline for most American Recovery and Reinvestment Act funded projects is 2012.

F. Reporting and Evaluation Program (Attachment #6)

A maximum of 35 points available

Applications will be evaluated on the thoroughness and effectiveness of the proposed Catalyst Project evaluation process to be established. Catalyst Projects must collect data and measure the effectiveness of their sustainable strategies. Given one of the purposes of the Program is to test new and innovative strategies, it is understood, not all strategies will be effective or successful. However, the application must include an effective evaluation process to promote learning and to allow dissemination of information about what works in developing sustainable communities in California.

Catalyst Projects that demonstrate exceptional capacity to test and prove the effectiveness of sustainable communities strategies; for overcoming barriers to development in a particular market or customer segment, and/or develop and apply strategies, tools and/or techniques to collect and measure results that can be applied by other local governments and achieve replicable results will be most competitive.

Applications that commit to collect as much of the following specific travel-related data would also be eligible for up to 10 additional bonus points. The data are those needed to obtain vehicle trip-generation rates associated with land-use that is required for transportation impact analyses:

1. Detailed Land-Use Information, such as:

- For commercial/retail/restaurant land use: the number and types of businesses, shops and restaurants; square fee of interior space; number of employees; hours of operation; number of parking spaces provided; etc.
- For office buildings: type of office (e.g., government/non-government), square feet of interior space, number of employees, hours of operation, number of parking spaces provided.
- For residential projects: single or multi-family, whether rental or for purchase, height of building(s), density of housing (average as well as specific project densities), number of residential units, number of bedrooms per unit, number of parking spaces provided.
- Location of the project in relation to downtowns, other activity centers, universities or colleges, job centers, retail areas, housing, etc. within a metropolitan or rural area.

2. Transportation Facilities, within and near Catalyst Project:

- Transportation facilities: the locations, numbers, and characteristics of roads, sidewalks, bicycle facilities, transit service (rail and bus) and stations, etc.
- Connections between the Catalyst Project to surrounding areas (roads, sidewalks, bicycle facilities, and transit services).

3. Travel Data, related to Catalyst Project:

- The number of vehicle trips associated with the land-use within the project (including residents, employees, customers, visitors and guests) at both morning and evening peak-hours of travel and all-day. Should be collected on at least one weekday and a Saturday (in the same week).
- The number of pedestrians and bicycle riders on at least one weekday and a Saturday (in the same week).
- The number of transit boardings and disembarkations on at least one weekday and a Saturday (in the same week).
- The travel data should include where possible, information about travel before and after the Catalyst Project.

Cal-Trans staff will be available to assist with any questions regarding travel related data. For information about consultation with Cal-Trans, contact HCD at number noted (see page 11).

CATALYST PROJECT INCENTIVES AND REWARD PACKAGE

Successful applicants to become a Catalyst Project will be eligible for a variety of resources and technical assistance, including but not limited to:

- State Funding Resources, including:
 - Innovative Homeownership funding (Proposition 1C, HCD). Approximately \$1 million per Catalyst Project will be available¹.
 - Beverage Container Recycling Grants (Department of Conservation [DOC])
 - Additional consideration, e.g. bonus points, when applying for planning grants for the preservation of agricultural farmland (DOC's Farmland Conservation Program)
 - Bonus Points for Cal-Trans' Community Based Transportation Planning Grant Program (please see <http://www.dot.ca.gov/hq/tpp/grants.html>).
- Targeted Technical Assistance from teams of State Agencies, including but not limited to:
 - The Energy Commission and other State agencies in identifying potential funding support from the American Recovery and Reinvestment Act of 2009.
 - DOC's recycling and agricultural land preservation and planning.
 - Department of Housing and Community Development and the Infrastructure Bank.
- Publicity and identification of the local government as a California Catalyst Project for Sustainable Strategies.
- Team participation to identify needed or desired State assistance.
- Other: Applicants may also recommend specific desired incentives or technical assistance targeted to the project or community's unique circumstance.

Funding programs require separate applications to the relevant departments. This application establishes threshold for bonus or competitive priority for identify funding program eligibility.

¹The Proposition 1C Innovative Homeownership Program (IHP) will have a separate application process. Only successful Catalyst Project applicants will be eligible to apply for IHP funds. The application process will require the identification of an innovative homeownership program or project, and IHP funds may only be used for capital assets as defined in Government Code Section 16727. The IHP is funded through the sale of general obligation (GO) bonds. At this time, proceeds from the sale of GO bonds are not available for the IHP, and it is unknown when such funds may become available due to the State's fiscal situation.

WHAT TO SUBMIT - SUPPORTING DOCUMENTATION

The Program Application must include Attachments 1-6 along with the documents described below. Materials should be presented **unbound** in the order indicated below. Clearly number and label each item and number all pages in sequential order.

Submit 3 copies (1 unbound original and 2 copies) of your application, which must include the following items:

1. Attachments 1 – 6
2. Attachment 7: Identification of Potential Incentives and Rewards List. Successful applicants to become a Catalyst Project may not be limited to the List. The List is designed to identify the current available incentives and solicit ideas about other potential resources the State may be able to provide to support Catalyst Project Pilot communities.
3. Attachment 8: Signed Authorizing Resolution from Governing Body. A draft resolution may be submitted with the application if the governing board meeting schedule prohibits submission by the application deadline. Prior to any recommendation of funding, however, an adopted resolution is required.

SELECTION PROCESS

1. Applicants submit a complete application to the State by the specified deadline.
2. Applications will be reviewed for completeness and threshold requirements.
3. Incomplete applications may not be evaluated or considered at the sole discretion of the State.
4. Applications will be evaluated by an Interagency Review Team (Team). The Interagency Review Team will include representatives from State agencies and the appropriate MPOs to assist in evaluating applications from their regions.
5. The Team, in evaluating proposals, may also consider factors including, but not limited to geographic distribution of Catalyst Projects and the degree the proposed Catalyst Project promotes other State objectives.
6. The Team will recommend Catalyst Projects to the Interagency Directors' Committee. The Directors' Committee is comprised of up to five directors of departments that contribute funds or will participate in direct technical assistance to Catalyst Projects.

APPLICATION REVIEW TIMELINE

Below is an estimated timeline for the application process.

<u>Steps in the Process</u>	<u>Estimated Date</u>
RFP Released	December 17, 2009
Application Submittal Date (must be <u>received</u> at HCD)	February 11, 2010
Inter-Agency Review	
Preliminary Award Announcement	April 2010

HOW TO REACH US FOR ASSISTANCE

For technical assistance in preparing the application, contact HCD at (916) 323-3176.

By Mail

State of California
Department of Housing and Community Development
Division of Housing Policy Development, Room 430
P. O. Box 952053
Sacramento, CA 94252-2053

By Phone

(916) 323-3176

Website

<http://www.hcd.ca.gov/>

Parking

Fee parking is available at on-street meters (quarters only) and two nearby parking structures:

- Third and Q Streets, the Public Employees Retirement System (PERS) parking garage; entrance is from Q Street
 - Fifth and S Streets, the Consumer Affairs parking garage; entrance is from Fifth Street
-

PART III: ATTACHMENTS

ATTACHMENT 1: APPLICATION FACE SHEET

Applicant: _____

Applicant: _____

Address: _____

Contact Person: _____

Phone #: _____ **Fax #:** _____

Catalyst Project Name: _____

Public Sector Partner: _____

County: _____

Congressional District: _____

Assembly District: _____

Senate District: _____

ATTACHMENT 2: CATALYST PROJECT SUMMARY STATEMENT

Summarize the overall goals and objectives of the Catalyst Project and how it meets the objectives of the Program. The statement should not be longer than one full page and should be suitable for use in a database or brochure (minimum 11 point font size).

ATTACHMENT 3: THRESHOLD REQUIREMENTS

All applications must meet threshold requirements.

1. Scale of the Catalyst Project. Eligible projects must meet one of either standard below. Check the appropriate box:
 - Livable Communities: must include at least 1,000 planned housing units and demonstrate an effective jobs and housing relationship, including housing affordability relative to wages. To demonstrate an effective jobs and housing relationship, among other things, attach documentation describing the estimated wages for jobs related to the Pilot and the estimated housing costs.
 - Revitalization Communities: must include development, preservation, or rehabilitation of at least 250 existing or planned housing units, including housing affordable to lower-income households.
2. Housing Element Compliance (demonstrated by a finding of compliance by the Department of Housing and Community Development prior to drawdown of funds);
 - HCD review letter finding the local government's housing element in compliance pursuant to Government Code Section 65585 and 65588 -- *attach – label as Attachment 3a.*
 - Status report on compliance of local housing element with commitment to bring the element into compliance prior to the drawdown of any funds awarded pursuant to this program. No funds will be disbursed without meeting this requirement -- *Attach -- label as Attachment 3a.*
3. Describe how the project is consistent with the State's Planning Priorities pursuant to Government Code Section 65041.1 -- *attach description -- label as Attachment 3b (one page maximum).*
4. Describe how the project supports the general goals of a Sustainable Community Strategy pursuant to SB 375 including Government Code Section 65080(b)(2)(B) in terms of the project's design and access to a variety of transportation modes -- *attach description -- label as Attachment 3c (one page maximum).*
5. The Catalyst Project must demonstrate, by a letter of support from the private sector partner, the commitment of a private sector partner -- *attach letter -- label as Attachment 3d.*
6. The local government applicant must demonstrate commitment to identify and address regulatory barriers to transformative projects including any regulatory relief to facilitate housing and job development. Include description of local regulatory relief provided and planned for the Catalyst Project – *attach -- label as Attachment 3e.*

ATTACHMENT 4: CALIFORNIA SUSTAINABLE COMMUNITIES POLICY OBJECTIVES

Between 1 and 10 points will be awarded for each policy objective the application successfully demonstrates will be addressed by the proposed Catalyst Project. Points will be awarded based on the extent of projected benefits. **Catalyst Projects that address objectives of the California Strategic Growth Council or energy efficiency (as noted below in bold) will be eligible for a maximum of 15 points per objective.**

A maximum of 100 points will be allocated to this Category.

Applications must demonstrate how the proposed Catalyst Project will address at least four of the following California Sustainable Strategies Policy Objectives. For each objective selected the application should describe how it will be measured and evaluated. Examples of the types of information that could be provided follow:

- Interjurisdictional/regional collaboration including implementing Regional Blueprint goals and objectives:
 - how does the Catalyst Project promote interjurisdictional or regional collaboration?
 - which other jurisdictions or regional agencies cooperated?
 - how does the Catalyst Project implement an existing Regional Blueprint?
- **Quantifiable reduction in greenhouse gas emissions:**
 - describe how the project is consistent with efforts or a plan by the community to reduce greenhouse gas emissions compared with business as usual.
- **Increased supply of housing affordable to the workforce and special needs populations (consistent with Government Code Section 65583(a) (7) e.g., the elderly, disabled or large families) -- describe:**
 - the number of affordable housing units to be provided and their level of and term of affordability.
 - any special housing needs group served by the project and any services to be provided.
- **Transportation/transit improvements:**
 - describe how the project includes or supports transportation (including walking and bicycling) and transit improvements that increase mobility, access, and safety.
- **Includes one or more transit-oriented developments with housing -- describe:**
 - the transit oriented developments.
 - any unique features of the project that support improved access to transportation and housing.
- Reduce automobile use and fuel consumption – describe:
 - how the project will contribute to reductions in automobile use and fuel consumption.
 - how improvements will be measured and reported.

ATTACHMENT 4: CALIFORNIA SUSTAINABLE COMMUNITIES POLICY OBJECTIVES

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- **Energy efficiency, conservation or renewable energy – describe:**
 - how the project promotes energy conservation and efficiency.
 - targets for energy efficiency and conservation and how outcomes will be measured.
 - how the project incorporates any renewable energy sources.
- Promote effective waste management/recycling -- describe:
 - how the project incorporates waste management and recycling strategies that exceed business as usual.
 - how project addresses waste management and recycling objectives in both the construction and ongoing operation.
- **Revitalizing neighborhoods and community centers -- describe:**
 - how the project will assist in revitalizing existing neighborhoods and community centers.
 - any unique strategies to address neighborhood revitalization.
 - how the project considers impacts on existing residents.
- **Protection of natural resources and/or agricultural land -- describe:**
 - how the project supports protecting existing natural resources and/or agricultural land.
 - any quantifiable objectives that will be achieved.
- Improved availability of parks in park deficient areas:
 - describe how the project assists in increasing availability of parks and opens space in park deficient areas.
- **Improved water and/or air quality -- describe:**
 - how the project will assist in providing improved air or water quality
 - any performance measures to be established.
- Reduction in toxic threats -- describe:
 - how the project will reduce exposure to toxic threats.
 - how reductions will be measured.
- Promote water conservation -- describe:
 - how the project will achieve water conservation objectives beyond business as usual.
 - quantified water conservation objectives.
- Promote the objectives of a Regional Economic Recovery Work Plan (RERWP) or an adopted local economic development strategy or plan consistent with the objectives of a RERWP (for more information, see website at <http://www.business.ca.gov/page.asp?o=cabth&s=econdev&p=352690>):
 - indicate if the Project is identified in a RERWP or is otherwise consistent with the objectives of the appropriate RERWP;
 - describe how the Project is consistent with the objectives of an adopted local economic development strategy or plan.

ATTACHMENT 4: CALIFORNIA SUSTAINABLE COMMUNITIES POLICY OBJECTIVES

~continued~

- Creation of green jobs and/or industries:
 - describe how the project supports the creation of green jobs and industries.
 - quantify the number of green jobs expected to be developed or supported.
 - describe the green industry to be created or supported.
- Increased infill and/or compact development, including housing, in rural, suburban, and urban areas -- describe:
 - how the project achieves increased infill development or implements existing infill development strategies.
 - how the project promotes compact development patterns.
 - how the project achieves infill or compact development patterns different from business as usual.
- Efficient use of existing infrastructure (e.g., roadways, sewer, water, and bridges) and plan to accommodate increased capacity -- describe:
 - which and how existing infrastructure systems will be more efficiently used.
 - how efficiency will be measured.
- Increased access to broadband technologies -- describe:
 - how the project will improve access to broadband technologies.
 - how underserved populations will be provided greater access to the internet.
- Development of integrated/joint use public facilities and services (such as schools and parks) -- describe:
 - how the project supports existing joint use facilities.
 - how the project will support development of new joint use facilities.
- **Promote public health and healthy communities, including walkable neighborhoods -- describe:**
 - the public health benefits the project will promote and achieve.
 - any coordination or cooperation with the local health officer or department.
 - how the project supports healthy communities as described in the glossary.

For each California Sustainable Communities Policy Objective selected, the application must include qualitative and quantitative performance measures to document how the Catalyst Project will achieve or promote the sustainable strategies objective. The application must also describe how achievements will be measured and evaluated.

ATTACHMENT 5: CATALYST PROJECT READINESS

Applications will be evaluated based on the readiness of the Catalyst Project to proceed and achieve the California Sustainable Strategies Policy objectives. Readiness must be demonstrated by submission of a projected timeline, status of needed entitlements, timing of all regulatory permits, budget, and funding commitment and community support, etc.

Applications should submit information which at least addresses the following:

- Projected timeline
- Status of needed entitlements
- Timing of all regulatory permits
- Budget and availability of needed funding
- Experience of public sector partner
- Community Support

For your information, regarding readiness, the expenditure deadline for most American Recovery and Reinvestment Act funded projects is 2012.

ATTACHMENT 6: REPORTING AND EVALUATION PROGRAM

Applications will be evaluated on the thoroughness and effectiveness of the proposed Catalyst Project evaluation process to be established. Catalyst Projects must collect data and measure the effectiveness of their sustainable strategies. Given one of the purposes of the Program is to test new and innovative strategies, it is understood, not all strategies will be effective or successful. However, the application must include an effective evaluation process to promote learning and to allow dissemination of information about what works in developing sustainable communities in California.

Catalyst Projects that demonstrate exceptional capacity to test and prove the effectiveness of sustainable communities strategies; for overcoming barriers to development in a particular market or customer segment, and/or develop and apply strategies, tools and/or techniques to collect and measure results that can be applied by other local governments and achieve replicable results will be most competitive.

For bonus point information, see page 8.

ATTACHMENT 7: IDENTIFICATION OF POTENTIAL INCENTIVES AND REWARD

Include a list of the incentives and rewards from page 9 the Catalyst Project would be most interested in receiving. This list is designed to identify the current available incentives and to solicit ideas about other potential resources the State may be able to provide support Catalyst Project Pilot communities. Applicants may propose additional incentives or rewards for consideration by the Selection Team.

ATTACHMENT 8: RESOLUTION FROM GOVERNING BODY

Attach signed Authorizing Resolution from Governing body.

A draft resolution may be submitted with the application if the governing board meeting schedule prohibits submission by the application deadline. Prior to any recommendation of funding, however, an adopted resolution is required.

GLOSSARY

AB 32 (Chapter 488, Statutes of 2006): The California Global Warming Solutions Act of 2006 establishes a comprehensive program of regulatory and market mechanisms to achieve real, quantifiable, cost-effective reductions of greenhouse gases. It codifies in the Health and Safety Code declarations about the serious threats posed by global warming and the intent of the Legislature to ensure coordination among state agencies and all affected stakeholders in the development of regulations to implement this law.

SB 375 (Chapter 728, Statutes of 2008): The Sustainable Communities and Climate Protection Act is the nation's first law to control greenhouse gas emissions through land use strategies. It provides emissions reducing goals for which regions will plan, integrates housing, transportation and land use planning, and provides incentives to promote new growth patterns in California.

Consistent with: compatible with, not contradictory to, or in agreement with:

Federal Partnership for Sustainable Communities: On June 16, 2009, EPA joined with the U.S. Department of Housing and Urban Development (HUD) and the U. S. Department of Transportation (DOT) to help improve access to affordable housing, more transportation options, and lower transportation costs while protecting the environment in communities nationwide. Through a set of guiding livability principles and a partnership agreement that will guide the agencies' efforts, this partnership will coordinate federal housing, transportation, and other infrastructure investments to protect the environment, promote equitable development, and help to address the challenges of climate change -- <http://www.epa.gov/dced/partnership/index.html>.

Greenhouse Gases: include, but are not limited to, carbon dioxide, methane, nitrous oxide, hydrofluorocarbons, perfluorocarbons, and sulfur hexafluoride.

Healthy Communities: While there is no absolute definition of a healthy community, the Department of Public Health provided the following for the purposes of the Sustainable Communities Planning Grant and Incentives Program funded by Proposition 84:

A healthy community is one that meets the basic needs of all residents, ensures quality and sustainability of the environment, provides for adequate levels of economic and social development; and assures social relationships that are supportive and respectful. A healthy community strives for the following through all stages of life:

Meets basic needs of all:

- Safe, sustainable, accessible and affordable transportation options
- Accessible and nutritious healthy foods
- Affordable, high quality, socially integrated and location-efficient housing
- Complete and livable communities including affordable and high quality schools, parks and recreational facilities, childcare, libraries, financial services, health care and other daily needs

GLOSSARY

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Quality, sustainability of the environment:

- Clean air, soil and water, and environments free of excessive noise
- Preserved natural and open spaces, including agricultural lands
- Minimized waste, toxics, and greenhouse gas emissions
- Affordable and sustainable energy use

Adequate levels of economic, social development:

- Living wage, safe and healthy job opportunities for all
- Support for healthy development of children and adolescents
- Opportunities for high quality and accessible education
- Health and social equity

Social relationships supportive, respectful:

- Robust social and civic engagement
- Socially cohesive and supportive relationships, families, homes, and neighborhoods
- Safe communities, free of crime and violence

Park Deficient Areas: are areas where the ratio of useable park space for 1,000 residents is less than three acres.

Performance Measures: The results (efficiency, performance, progress, or quality) of a proposal or project. A measure quantifies the tangible result(s) (e.g., percent increase, percent reduction, number of things, or savings (e.g., dollars, energy, water)).

Regional Economic Recovery Work Plan: The Business, Transportation and Housing Agency led an effort to maximize the impact of federal stimulus and other limited resources by encouraging regional stakeholders to develop a Regional Economic recovery Work Plan (RERWP). A RERWP should support economic recovery by (1) leveraging resources, (2) expediting infrastructure delivery, (3) supporting the growth of business and innovation, (4) developing the California workforce, and where possible (5) enhancing environmental quality. The RERWPs are intended to help identify and seize opportunities for economic recovery and sustainable growth -- <http://www.business.ca.gov/page.asp?o=cabth&s=econdev&p=352690>.

Information about existing RERWPs can be found at <http://www.business.ca.gov/page.asp?o=cabth&s=econdev&p=352690&i=261364>.

Revitalize Urban Areas and Community Centers: For the purpose of this program, the rejuvenation and promotion of reinvestment in a district of a city, county or community, usually typified by a concentration of uses including residential, retail and commercial buildings, and public spaces.

GLOSSARY

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Strategic Growth Council: In September 2008 Governor Arnold Schwarzenegger signed SB 732, creating the Strategic Growth Council. The council is a cabinet level committee tasked with coordinating the activities of State agencies to:

- improve air and water quality;
- protect natural resource and agricultural lands;
- increase the availability of affordable housing;
- improve infrastructure systems;
- promote public health; and
- assist State and local entities in the planning of sustainable communities and meeting AB 32 goals.

State Planning Priorities: As defined by Government Code Section 65041.1; the state planning priorities, which are intended to promote equity, strengthen the economy, protect the environment, and promote public health and safety in the State, including in urban, suburban, and rural communities, shall be as follows:

(a) To promote infill development and equity by rehabilitating, maintaining, and improving existing infrastructure that supports infill development and appropriate reuse and redevelopment of previously developed, underutilized land that is presently served by transit, streets, water, sewer, and other essential services, particularly in underserved areas, and to preserving cultural and historic resources.

(b) To protect environmental and agricultural resources by protecting, preserving, and enhancing the state's most valuable natural resources, including working landscapes such as farm, range, and forest lands, natural lands such as wetlands, watersheds, wildlife habitats, and other wildlands, recreation lands such as parks, trails, greenbelts, and other open space, and landscapes with locally unique features and areas identified by the state as deserving special protection.

(c) To encourage efficient development patterns by ensuring that any infrastructure associated with development, other than infill development, supports new development that does all of the following:

- (1) Uses land efficiently.
- (2) Is built adjacent to existing developed areas to the extent consistent with the priorities specified pursuant to subdivision (b).
- (3) Is located in an area appropriately planned for growth.
- (4) Is served by adequate transportation and other essential utilities and services.
- (5) Minimizes ongoing costs to taxpayers.