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## 2013-14 State of California Consolidated Annual Performance E valuation Report (CAPER)

## CR-05 - Goals and Outcomes

**Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

**This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.**

This report discusses program outcomes for the 2013-2014 fiscal year utilizing State Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), Emergency Solutions Grants Program (ESG), Housing Opportunities for Persons with AIDS (HOPWA) and Lead-Hazard Control Program (LHCP) funds. The State continues to make progress with these funds in increasing the supply of affordable permanent housing, improving public infrastructure, and increasing public services, public facilities, and Rapid-Rehousing and crisis response services for households in State-eligible nonentitlement CDBG, HOME, ESG, HOPWPA and LHCP Program communities. In addition, the State works toward affirmatively furthering fair housing in the administration of program funds.

In addition to the data provided below, this CAPER discusses affordable housing outcomes, homelessness and special needs activities, and other State actions in furtherance of the State's HUD Annual Plan Goals and Objectives. Program monitoring information and other fiscal data is also provided.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

**Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.**

The State made progress on all of its 5-year strategic plan goals. Note: 1) In the IDIS table below, the amount shown in the Source/Amount column is the total amount available for all activities or indicators associated with a particular goal. It is not the amount expended for a particular activity or indicator): 2) for Homeless Assistance and Prevention services, no ESG outcome data was available for 2010 and 2011 because this data was not collected through IDIS or the Homeless Management Information System (HMIS) during this period. 3) The Actual Strategic Plan number for Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit is significantly higher than the Expected Strategic Plan number for this indicator due to a large number of activities that were closed out in IDIS over the last year, and due to the increase in the number of infrastructure activities over the last several years.

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Source / Amount** | **Indicator** | **Unit of Measure** | **Expected – Strategic Plan** | **Actual – Strategic Plan** | **Percent Complete** | **Expected – Program Year** | **Actual – Program Year** | **Percent Complete** |
| Foster local public services | Non-Housing Community Development | CDBG: $4,354,240 | Public service activities other than Low/Moderate Income Housing Benefit | Persons Assisted | 822,777 | 2,045,298 | 249% | 91,452 | 337,898 | 369% |
| Foster local public services | Non-Housing Community Development | CDBG: $4354240 | Public service activities for Low/Moderate Income Housing Benefit | Households Assisted | 27,226 | 1,933 | 7% | 6,507 | 0 | 0% |
| Foster local public services | Non-Housing Community Development | CDBG: $4354240 | Housing Code Enforcement/Foreclosed Property Care | Household Housing Unit | 7,091 | 3732 | 53% | 0 | 0 | 0% |
| Increase economic development opportunities | Non-Housing Community Development | CDBG: $13,062,719 | Jobs created/retained | Jobs | 3,809 | 39,141 | 1028% | 552 | 12,531 | 2,270% |
| Increase economic development opportunities | Non-Housing Community Development | CDBG: $13,062,719 | Businesses assisted | Businesses Assisted | 6,704 | 12,350 | 184% | 454 | 482 | 106% |
| Meet the housing needs of low-income homeowners | Affordable Housing | CDBG: $6,531,360 / HOME: $15,178,712 / Lead Hazard Control Program: $69,0000 | Homeowner Housing Added | Household Housing Unit | 150 | 135 | 90% | 30 | 13 | 43% |
| Meet the housing needs of low-income homeowners | Affordable Housing | CDBG: $6531360 / HOME: $15178712 / Lead Hazard Control Program: $690000 | Homeowner Housing Rehabilitated | Household Housing Unit | 4,336 | 3,937 | 91% | 663 | 255 | 38% |
| Meet the housing needs of low-income homeowners | Affordable Housing | CDBG: $6531360 / HOME: $15178712 / Lead Hazard Control Program: $690000 | Direct Financial Assistance to Homebuyers | Households Assisted | 1,136 | 1,359 | 120% | 96 | 199 | 207% |
| Meet the housing needs of low-income renters | Affordable Housing | CDBG: $4354240 / HOME: $20870730 / Lead Hazard Control Program: $1610000 | Rental units constructed | Household Housing Unit | 1,500 | 4,352 | 290% | 95 | 583 | 614% |
| Meet the housing needs of low-income renters | Affordable Housing | CDBG: $4354240 / HOME: $20870730 / Lead Hazard Control Program: $1610000 | Rental units rehabilitated | Household Housing Unit | 473 | 1,290 | 273% | 116 | 237 | 204% |
| Provide homeless assistance & prevention services | Homeless Non-Homeless Special Needs | HOPWA: $3874339 / HOME: $1897339 / ESG: $8956662 | Tenant-based rental assistance / Rapid Rehousing | Households Assisted | 6,386 | 5,277 | 83% | 2,491 | 3,313 | 133% |
| Provide homeless assistance & prevention services | Homeless Non-Homeless Special Needs | HOPWA: $3874339 / HOME: $1897339 / ESG: $8956662 | Homeless Person Overnight Shelter | Persons Assisted | 57,642 | 45,771 | 79% | 11,219 | 13,291 | 118% |
| Provide homeless assistance & prevention services | Homeless Non-Homeless Special Needs | HOPWA: $3874339 / HOME: $1897339 / ESG: $8956662 | Homelessness Prevention | Persons Assisted | 18,214 | 5,017 | 28% | 5,361 | 3,831 | 71% |
| Provide homeless assistance & prevention services | Homeless Non-Homeless Special Needs | HOPWA: $3874339 / HOME: $1897339 / ESG: $8956662 | HIV/AIDS Housing Operations | Household Housing Unit | 250 | 191 | 76% | 50 | 38 | 76% |
| Provide homeless assistance & prevention services | Homeless Non-Homeless Special Needs | HOPWA: $3874339 / HOME: $1897339 / ESG: $8956662 | Other (HOPWA Supportive Services) | Other | 7,000 | 6,639 | 95% | 1,400 | 1,660 | 119% |
| Support local public facilities and improvements | Non-Housing Community Development | CDBG: $13062719 | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit | Persons Assisted | 1,599,906 | 1,726,082 | 108% | 13,0595 | 126,934 | 97% |
| Support local public facilities and improvements | Non-Housing Community Development | CDBG: $13062719 | Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit | Households Assisted | 1,635 | 2,301,781 | 140,782% | 229 | 548,618 | 239,571% |

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified**

Consistent with the priorities and specific objectives outlined in the 2013-14 Annual Plan, the State allocates the largest share of its HUD funds to rental housing production, (approximately $27 million) and homeownership activities, (approximately $22 million). Nearly $15 million is allocated to homelessness assistance and tenant-based rental assistance, and approximately $10 million to infrastructure improvements. Although each of these activities are important State priorities, the relative share of funds for these activities is consistent with the focus of the HOME, ESG, and HOPWA programs on housing and homelessness assistance.

For Program Year 2013 CDBG’s highest expenditures are for public facilities/public infrastructure projects ($10 million), followed by housing ($6.5 million), and public services (approximately $2.8 million). These estimated expenditures are consistent with CDBG’s funding priorities and meets the state and federal expenditure requirements and limits.  Additionally, the program expended $1.85 million for economic development, and $1.7 million for planning-only grants.  While all CDBG activities are of great necessity in California, the state has essentially three top priorities for its CDBG funds: Public Infrastructure (for potable water projects), Public Services (for job training), and Homeownership, (pursuant to our Analysis of Impediments to Fair Housing (AI) findings).  The CDBG expenditures for program year 2013 are consistent with the program’s goals.

**CR-10 - Racial and Ethnic composition of families assisted**

**Describe the families assisted (including the racial and ethnic status of families assisted) 91.520(a)**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **CDBG** | **HOME** | **ESG** | **HOPWA** |
| White | 119,479 | 991 | 6,471 | 1,054 |
| Black or African American | 2,804 | 19 | 1,170 | 213 |
| Asian | 2,859 | 17 | 148 | 12 |
| American Indian or American Native | 9,566 | 9 | 495 | 28 |
| Native Hawaiian or Other Pacific Islander | 283 | 6 | 145 | 57 |
| Hispanic | 39,921 | 592 | 2,209 | 399 |
| Not Hispanic | 95,070 | 450 | 5,748 | 965 |
| **Total** | 134,991 | 1,042 | 7,957 | 1,364 |

Table 2 – Table of assistance to racial and ethnic populations by source of funds

**Narrative**

In FY 13-14 CDBG’s largest racial and ethnic groups served were Whites and Non-Hispanics, although the program served a significant percentage of Hispanics and American Indian or American Natives. HOME’s largest racial and ethnic groups were White and Hispanics. ESG’s and HOPWA’s were White and Non-Hispanics. According to the Department’s 2012 AI, Whites are a greater percentage of the total population of CDBG and HOME-eligible (nonentitlement) jurisdictions than are Minorities, so the above data is somewhat consistent with this trend; however, the programs will continue to work with its grantees to increase service to racial and ethnic minorities.

## CR-15 - Resources and Investments 91.520(a)

**Identify the resources made available**

|  |  |  |  |
| --- | --- | --- | --- |
| **Source of Funds** | **Source** | **Expected**  **Amount Available** | **Actual**  **Amount Expended Program Year 2013-14** |
| CDBG |  | 43,542,398 | 27,657,162 |
| HOME |  | 35,000,000 | 43,691,490 |
| HOPWA |  | 3,305,068 | 3,068,986 |
| ESG |  | 8,956,662 | 11,911,494 |
| Other | Lead Hazard Control Program | 2,300,000 | $833,175 |

Table 3 – Resources Made Available

**Narrative**

The expected amount available for the Lead Hazard Control Program is for the period of 2012-2015. Where the Amount Expended exceeds the Expected Amount Available, this is primarily due to completed activities from prior year allocations.

**Identify the geographic distribution and location of investments**

|  |  |  |  |
| --- | --- | --- | --- |
| **Target Area** | **Planned Percentage of Allocation** | **Actual Percentage of Allocation** | **Narrative Description** |
|  |  |  |  |

Table 4 – Identify the geographic distribution and location of investments

**Narrative**

The State had no geographic target areas identified for FY 13-14. See the 2012-13 Annual Plan Methods of Distribution (AP 30) at http://www.hcd.ca.gov/hpd/hrc/rep/fed/ for a description of the allocation method for each Annual Plan Program.

**Leveraging**

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan**

**CDBG:** Proposals to use CDBG funds with other leveraged funds can improve the feasibility of programs and projects since available funds are often insufficient to fully support most Community Development or Economic Development projects and programs. CDBG is generally used as gap financing in conjunction with other federal, State and private funds. CDBG is used in conjunction with USDA funding and other grant funding to local governments. Localities are encouraged to provide local resources and obtain private support, and to report State or federal funds used in the proposed activities. Local contributions typically consist of in-kind staff services, grant administration, gas tax funds, public works funds, permit and other fee waivers. Private contributions can include mortgage loans, grants from private agencies, in-kind staff time, sweat equity from rehabilitation projects, and discounts on services from title, pest and appraisal companies.

**HOM**E: The primary forms of leverage for HOME funds are tax credit equity and private bank loans associated with multifamily rental housing new construction or rehabilitation projects. Secondarily, first mortgage financing on homebuyer acquisition activities provides another main source of leverage. Typically, every $1 in HOME funds leverages $3-$4 in private investment. Since HOME generates so much additional leverage, it is able to meet its match requirement from these types of sources, as well as other state and local government financing for HOME-eligible activities. HOME currently has excess match which it has banked to meet its match requirement for the next several years. See table below.

**ESG:**Funds leverage additional local government and private philanthropic investment from foundations. ESG meets its 100% match requirement by relying on these sources from its funded grantees.

**HOPWA**: Project Sponsors leverage funds from various state, federal, local and private resources, including Ryan White Part B funding, for housing assistance, supportive services and other non-housing support. DPH/OA integrates HOPWA and Ryan White Part B funding to allow a seamless approach to the delivery of housing and care services. The HIV Care Program (Ryan White Part B), administered by the Department of Public Health Office of AIDS (DPH/OA), provides HIV care services statewide, including the 42 HOPWA-eligible counties. These services, when used in conjunction with HOPWA services, assist in preventing homelessness and addressing emergency housing needs.

**LHCP**: HUD requires a 10% non-federal match contribution. LHCP’s network of Community-Based Organizations are contracted to meet this requirement. State, local, charitable, non-profit or for-profit entities, private donations, in-kind and owner’s contribution resources are used to meet this requirement. Subgrantees also provide federal leveraging funds through various resources such as the Low-Income Home Energy Assistance Program, and other sources similar to those noted above; however, funds from subgrantees are not counted towards satisfying the non-federal match requirement.

| **Fiscal Year Summary – HOME Match** | |
| --- | --- |
| 1. Excess match from prior Federal fiscal year | 210,845,844 |
| 2. Match contributed during current Federal fiscal year | 53,492,259 |
| 3. Total match available for current Federal fiscal year (Line 1 plus Line 2) | 264,338,103 |
| 4. Match liability for current Federal fiscal year | 9,509,573 |
| 5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4) | 254,828,530 |

Table 5 – Fiscal Year Summary - HOME Match Report

| **Match Contribution for the Federal Fiscal Year** | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Project No. or Other ID** | **Date of Contribution** | **Cash**  **(non-Federal sources)** | **Foregone Taxes, Fees, Charges** | **Appraised Land/Real Property** | **Required Infrastructure** | **Site Preparation, Construction Materials, Donated labor** | **Bond Financing** | **Total Match** |
|  |  |  |  |  |  |  |  |  |

Table 6 – Match Contribution for the Federal Fiscal Year

| **Program Income** – Enter the program amounts for the reporting period | | | | |
| --- | --- | --- | --- | --- |
| **Balance on hand at**  **beginning of reporting period**  **$** | **Amount received during reporting period\***  **$** | **Total amount expended during reporting period\***  **$** | **Amount expended for TBRA**  **$** | **Balance on hand at end of reporting period**  **$** |
| 11,932,745 | 6,183,406 | 4,481,143 | 909 | 13,635,008 |

Table 7 – Program Income

Program Income-only activities are receipted and expended in IDIS concurrently; therefore, the above does not reconcile to IDIS. The Department is currently researching the option of subgranting Program Income in order to facilitate timely reporting. In addition, all of the 4th quarter Program Income reports have not been entered into IDIS.

|  |
| --- |
| **Minority Business Enterprises and Women Business Enterprises –** Indicate the number and dollar value of contracts for HOME projects completed during the reporting period |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Total** | **Minority Business Enterprises** | | | | **White Non-Hispanic** |
| **Alaskan Native or American Indian** | **Asian or Pacific Islander** | **Black Non-Hispanic** | **Hispanic** |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Contracts** | | | | | | |
|  |  |  |  |  |  |  |
| Dollar Amount | $6,720,476 | $0 | $3,798 | $0 | $17,219 | $6,699,459 |
| Number | 22 | 0 | 1 | 0 | 2 | 19 |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Sub-Contracts** | | | | | | |
|  |  |  |  |  |  |  |
| Number | 176 | 0 | 4 | 2 | 25 | 145 |
| Dollar Amount | $12,708,322 | $0 | $89,743 | $31,799 | $1,505,264 | $11,081,516 |

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Total** | **Women Business Enterprises** | **Male** |

|  |  |  |  |
| --- | --- | --- | --- |
| **Contracts** | | | |
|  |  |  |  |
| Dollar Amount | $6,720,476 | $13,992 | $6,706,484 |
| Number | 22 | 3 | 19 |

|  |  |  |  |
| --- | --- | --- | --- |
| **Sub-Contracts** | | | |
|  |  |  |  |
| Number | 176 | 15 | 161 |
| Dollar Amount | $12,708,322 | $766,765 | $11,941,557 |

Table 8 – Minority Business and Women Business Enterprises

|  |
| --- |
| **Minority Owners of Rental Property** – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Total** | **Minority Property Owners** | | | | **White Non-Hispanic** |
| **Alaskan Native or American Indian** | **Asian or Pacific Islander** | **Black Non-Hispanic** | **Hispanic** |
| Number |  |  |  |  |  |  |
| Dollar Amount |  |  |  |  |  |  |

Table 9 – Minority Owners of Rental Property

|  |
| --- |
| **Relocation and Real Property Acquisition –** Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition |

|  |  |  |
| --- | --- | --- |
|  | **Number** | **Cost** |
| Parcels Acquired | 74 | $14,632,709 |
| Businesses Displaced | 0 | 0 |
| Nonprofit Organizations Displaced | 0 | 0 |
| Households Temporarily Relocated, not Displaced | 12 | $43,122 |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Households Displaced** | **Total** | **Minority Property Enterprises** | | | | **White Non-Hispanic** |
| **Alaskan Native or American Indian** | **Asian or Pacific Islander** | **Black Non-Hispanic** | **Hispanic** |
| Number | 2 | 0 | 0 | 0 | 1 | 1 |
| Cost | $16,671 | 0 | 0 | 0 | $5,800 | $10,871 |

Table 10 – Relocation and Real Property Acquisition

## CR-20 - Affordable Housing 91.520(b)

**Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served**

|  | One-Year Goal | Actual |
| --- | --- | --- |
| Number of Homeless households to be provided affordable housing units | 2000 | 3,015 |
| Number of Non-Homeless households to be provided affordable housing units | 7000 | 5416 |
| Number of Special-Needs households to be provided affordable housing units | 1050 | 1,030 |
| **Total** | **10050** | **9461** |

Table 11 – Number of Households

|  | One-Year Goal | Actual |
| --- | --- | --- |
| Number of households supported through Rental Assistance | 8000 | 7144 |
| Number of households supported through The Production of New Units | 125 | 596 |
| Number of households supported through Rehab of Existing Units | 779 | 492 |
| Number of households supported through Acquisition of Existing Units | 96 | 199 |
| **Total** | **9000** | **8431** |

Table 12 – Number of Households Supported

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals**

Differences between the numbers of households served either by homeless/non-homeless status or by activity-type were marginal, (less than 600 households), given the overall numbers served. The primary reasons for this reduction were declines in homeowner activities, and an overall reduction in the numbers of households receiving homeless prevention assistance over what was projected in the 13-14 Annual Plan. The decline in homeowner activities is expected given market conditions and problems low-income homebuyers continue to have accessing private market resources for this activity. The decline in homeless prevention assistance was expected, given ESG’s increasing focus on providing Rapid-Rehousing assistance, and more targeted use of homeless prevention funds in local communities. Note: The differences in the totals between the two tables above are attributable to the Special Needs Household category being separately counted in Table 11.

**Discuss how these outcomes will impact future annual action plans**

No future anticipated impacts at this time.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity**

|  |  |  |
| --- | --- | --- |
| **Number of Persons Served** | **CDBG Actual** | **HOME Actual** |
| Extremely Low-income | 2,356 | 7,470 |
| Low-income | 16,536 | 1,826 |
| Moderate-income | 45,352 | 0 |
| **Total** | **64,244** | **9,296** |

Table 13 – Number of Persons Served

**Narrative Information**

The numbers above are consistent with the income targeting of each of these program’s primary activities. CDBG’s primary focus is on public facilities/infrastructure, public services, and homeownership, activities which primarily serve low and moderate-income individuals. HOME’s largest share of funds is used for rental housing production, which primarily serves extremely-low and low-income households.

## CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

**Evaluate the jurisdiction’s progress in meeting its specific objectives for reducing and ending homelessness through:**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The ESG program funds street outreach activities designed to engage unsheltered persons to access housing and basic services. In 2013, 4% of the available State ESG Program funds were awarded to street outreach activities.

**Addressing the emergency shelter and transitional housing needs of homeless persons**

The ESG program continues to fund a number of emergency shelter and transitional housing programs which provide short and medium-term shelter and supportive services to homeless individuals and families while affordable permanent housing is being sought out. Based on the 2013 ESG funding, emergency shelter and transitional housing programs received 58% of the available funding.

To address homelessness among persons living with HIV/AIDS (PLWHAs), HOPWA funds two transitional housing facilities for homeless PLWHA. In addition, twelve project sponsors provide hotel/motel voucher assistance to clients while they assist them in locating more stable housing. All HOPWA project sponsors work with homeless PLWHA to link them to homeless services within their communities.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

General Population: The ESG program funds rapid re-housing and homelessness prevention programs which provide short and medium-term rental assistance and supportive services to homeless and individuals and families and those at risk of homelessness so that they can access and maintain affordable, suitable housing. In 2013, the State ESG program funded 31% of its awards to various rapid re-housing and homelessness prevention programs. We anticipate increasing demand for Rapid Rehousing; hence the Department will continue to provide a funding set-aside to Rapid Rehousing projects.

Veterans: The State is implementing several different programs designed to assist homeless persons, including chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth access permanent housing. The proposed design of the new Veterans Housing Bond Program will incentivize developers to partner with Local Continuums of Care, the federal Veterans Administration, and others in developing "low-barrier" housing practices that target supportive housing developed with these funds to persons most in need who are least likely to access and maintain housing on their own.

Families with Children:  Recently, the State Department of Social Services was awarded $20 million to provide CalWORKs families with limited-term rental assistance to help them access permanent housing or avoid eviction. See http://www.dss.cahwnet.gov/lettersnotices/EntRes/getinfo/coletters/CalWORKsHousingSupportProgram.pdf.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

In addition to the programs discussed above, the following additional programs provide assistance with homelessness prevention activities, particularly for persons leaving publicly-funded institutions and systems of care.

Section 811 Project Rental Assistance (PRA) Program: The PRA program will provide five-year renewable rental assistance to Medicaid beneficiaries ages 18-61 who are exiting Medicaid-funded long-term health care facilities, such as nursing homes and intermediate care facilities, or those who are at risk of returning to these institutions because that lack affordable housing with long-term services and supports. See <http://www.calhfa.ca.gov/multifamily/section811/index.htm>.

Mental Health Services Act (MHSA) Program: The MHSA Program provides capital financing, including long-term capitalized operating subsidies to develop supportive housing units for persons with severe mental illness. CalHFA administers the capital financing, while local county mental health departments refer tenants to the available housing and coordinate supportive services in partnership with local mental health services organizations. See http://www.calhfa.ca.gov/multifamily/mhsa/index.htm for more information.

HOPWA Program: HOPWA services are restricted to low income PLWHA for the purpose of alleviating or preventing homelessness. Approximately 65% of the clients assisted are at or below 30% of Area Median Income and at risk of homelessness or homeless. Often project sponsors are the first point of contact for PLWHA being discharged from publicly funded institutions and systems of care. HOPWA project sponsors are required to assess the housing and service needs of every eligible HOPWA household as part of the intake process for receiving services. In addition to providing housing services to clients, the project sponsor collaborates with other local HIV/AIDS and mainstream service agencies to link clients to other services they need.

## CR-30 - Public Housing 91.220(h); 91.320(j)

**Actions taken to address the needs of public housing**

The State does not own or operate public housing. In California, public housing is administered directly through local Public Housing Authorities (PHAs). Pursuant to HUD requirements, public housing authorities are also not eligible to apply for CDBG, HOME, ESG, HOPWA, or LHCP funds directly. However, public housing authorities in eligible jurisdictions can work with eligible applicants to plan for the use of program funds to assist low-income tenants in their communities. PHAs in jurisdictions eligible to apply for federally-funded State programs may seek funds for eligible activities through their city or county application development process.

**Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

Since the State does not administer PHA funds, or have any oversight over PHA tenants, it has no actions directed specifically to public housing residents.

**Actions taken to provide assistance to troubled PHAs**

Since the State does not administer PHA funds, it does not evaluate the status or condition of PHAs.

## CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

State law requires each city and county to adopt a general plan containing at least seven mandatory elements including housing. Unlike the other general plan elements, the housing element, required to be updated every five to eight years, is subject to detailed statutory requirements and mandatory review by HCD.  The housing element has many similar requirements to the federally-mandated ConPlan in that it requires a thorough assessment of housing needs and the adoption of a comprehensive implementation action plan to address those needs.

The housing element contains information about the availability of sites and infrastructure to accommodate new housing needs and requires an analysis of governmental constraints to the production and preservation of housing.  Cities and counties are required by housing element law to have land-use plans and regulatory policies which facilitate the development of a range of housing types to meet the needs of all income groups. The housing element which must be developed with public input and participation, serves as the basis for land-use and assistance programs to address local, regional and state housing needs.

As of December 31, 2013, for the 4th cycle of housing element updates, 485 of the State's 539 jurisdictions (90 percent) were found to comply with housing element law. Jurisdictions are currently updating the 5th cycle of housing elements. Southern California Association of Governments (SCAG) and Sacramento Area Council of Governments (SACOG) jurisdictions were due October 15, 2013. Currently 78 and 93 percent of jurisdictions within these Councils of Government (COG) are in compliance, respectively. SCAGs compliance is expected to trend significantly higher once zoning amendments are complete to permit emergency shelters pursuant to Chapter 633, Statutes 2007 (SB2). The Department will continue working with jurisdictions including the remaining 295 jurisdictions due in 2014 through early 2016. Due dates by COG for the forthcoming 5th planning period are available on HCDs website at: http://www.hcd.ca.gov/hpd/hrc/plan/he/web\_he\_duedate.pdf.

**Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

In addition to the actions discussed elsewhere in CR 35, the State continues to provide funds to address all of its housing, infrastructure, homelessness, economic development, and public facilities and services goals. In many communities across California, these continue to be underserved needs; hence, the State is also putting additional resources toward meeting these needs. Some special initiatives in FY13-14 included the following.

State and Federally-Funded Drought Assistance: *Federal funds awarded for the drought emergency* - the Department received four applications for the federal drought NOFAs. The CDBG NOFA for Temporary Subsistence Payments for rent and utilities received two applications, requesting $750,000 (County of San Benito for $500,000 and County of Yolo for $250,000). The HOME Drought Tenant Based Rental Assistance (TBRA) NOFA received two applications (City of Dinuba for $200,000 and County of Yolo for $300,000). All four applications met eligibility thresholds and were awarded on June 30th.

*State funds awarded for the drought emergency*: La Cooperativa, has begun implementing $9.73 Million of the $10 million state-funded rental assistance program, Drought Housing Rental Subsidies. As of July 3, 2014 (most recent 13-14 year-end report) a total of 191 applicants in 16 counties have been assisted since the program began, with a total of $288,195 committed and $172,450 in funds issued. As of the most recent report for the week of September 18, 2014, 2,614 households have been assisted.

Additionally, State funds we awarded to the HOME Grantees for supplemental administration funding of 4.5% to assist with the costs of running the HOME TBRA program. Of these funds, the City of Dinuba was awarded $9,000.

Veterans Housing Bond: In June voters passed a $600 million bond to provide capital financing for affordable housing projects serving veterans. HCD is currently on a State interagency team to design the program, including providing financing for units targeted to extremely low-income and chronically homeless veterans. Program funds are expected to be awarded over the next several years, with the first Notice of Funding Availability anticipated in January 2015. See [www.hcd.ca.gov/fa/vets/](http://www.hcd.ca.gov/fa/vets/) for more information.

Supportive and Special Needs Housing up to $100 million in State General Funds has been appropriated to HCD's Multifamily housing Program for Supportive Housing and special needs housing.

Migrant Centers: HCD has also received authority to use unallocated Farmworker Housing Program funds to rehabilitate existing Migrant Farmworker Centers.

Manufactured Housing: HCD's CalHOME Program has also made approximately $10 million available on an over-the-counter basis for homeowner purchase or rehabilitation of existing manufactured housing/mobile home units.

**Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

LHCP is designed to work collaboratively with the LHCP network of Community-Based Organizations (CBOs) in the delivery of lead hazard control services to low-income households. Funds are provided to CBOs that statistically have a high number of children with elevated blood/lead levels in their county and the capacity to successfully carry out the goals by meeting and/or exceeding LHCP benchmark goals.

The program’s primary objectives are to: (1) provide lead hazard control services in 2013-2014 to at least 67 pre-1978 housing units occupied by low-income households, targeting households with at least one child under the age of six residing in the residence or visiting frequently; (2) provide lead hazard awareness education; (3) maximize resources by strengthening collaboration with local housing and health departments to increase lead-safe rental opportunities for low-income households, and (4) expand the lead-safe certified workforce in the local communities and develop lasting lead-safe training resources. In FY 13-14, LHCP provided assistance to 26 renter households, and 11 homeowner households, totaling 833,175

**Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

The CDBG program, with its Economic Development activities, has job creation/retention requirements when direct funding is provided to a for-profit business that is not a Microenterprise business. Those jobs must be open to low/moderate-income individuals and at least 51% of the available jobs must be filled by low/moderate-income individuals. To meet this requirement, the Department encourages CDBG jurisdictions to partner with local organizations that are providing job training to provide referrals for job applicants. Jurisdictions may also formally require Business Assistance loan recipients to use job training program referrals. In this way persons on unemployment and / or in job training programs have access to jobs created from CDBG funding.

**Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

California continues to pursue opportunities in all four goal areas identified by the 2013 SAMHSA-sponsored Policy Academy to Reduce Chronic Homelessness.

Goal 1: Increasing access to mainstream resources, taking full advantage of opportunities under the Affordable Care Act and through the expansion of MediCal. In consultation with external experts and practitioners, HCD and the State Department of Health Care Services (DHCS) created and distributed “Let’s Get Everyone Covered!” a comprehensive tool kit to assist counties, supportive housing and service providers in enrolling persons experiencing homelessness in MediCal and managed care plans. http://www.hcd.ca.gov/LetsGetEveryoneCovered.pdf.  HCD and DHCS are currently planning a convening for the State’s MediCal Managed Care directors in October on health and housing needs and solutions for persons experiencing long term homelessness with.  Finally, a joint application to HUD for Section 811 Round Two Project Rental Assistance (PRA) Demonstration funds was made targeting non-elderly disabled persons leaving long term institutions or experiencing long term homelessness in Los Angeles.

Goal 2: Increasing access to permanent supportive housing (PSH) in the existing inventory for persons experiencing chronic homelessness and Goal 3: Designing new State PSH programs and approaches In FY 13-14, $50 million in new funding was allocated for new PSH through the MHP-SH program and $600 million was reallocated to the new Veterans Housing and Homelessness Prevention Program (VHHP). Proposed new features in VHHP include strengthening targeting to the most vulnerable subset of persons experiencing homelessness and geographically to areas with the highest number of veterans experiencing homelessness and housing instability, providing operating subsidies to reach zero-income households, requiring housing first practices in funded housing, and increased services planning. The NOFA is planned for January 2015. In addition, efforts are underway to change HCD’s Uniform Multifamily Regulations to provide more flexibility in project budgets to allow case management costs as a project operating cost when serving a targeted population, thereby increasing a project’s ability to access additional needed subsidy. The California Tax Credit Allocation Committee (TCAC) has also amended its regulations to enable all projects applying through the Special Needs housing set-aside to access State tax credits, even if they are not located in a Difficult to Develop Area. As a result, TCAC has seen an increase in the number of projects proposing to serve special needs populations.

Goal 4: Supporting the redesign of local crisis response systems. Allocated to the State Department of Social Services (DSS), $20 million in general fund will be administered by County welfare offices for rapid re-housing and prevention of families receiving CalWORKS assistance. To inform with best practices, the California Welfare Directors Association hosted a technical assistance webinar in July with the National Alliance to End Homelessness and HCD. With assistance from HUD’s technical assistance grant, the State ESG program is increasing its focus on HEARTH outcomes, including shortening stays in homeless shelters and facilitating quicker exits to permanent housing through rapid re-housing strategies. Some changes are planned for 2014 with greater shifts planned in 2015. Continuum of Care agencies were surveyed on current capacities and activities, and other state practices were also inventoried. Finally, multiple state agencies are working with the California Child Welfare Council to improve housing strategies and programs for families in reunification, with a housing convening planned for October 6, 2014.

**Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

The Department continues to implement the Section 811 PRA Program in collaboration with the State Department of Health Care Services (DHCS), California Department of Developmental Services (DDS), California Housing Finance Agency (CalHFA), and TCAC.  The program will provide project-based rental assistance to affordable housing projects to serve persons ages 18-61 exiting MediCal funded long-term care facilities, such as nursing homes, as well as persons at-risk of returning to these settings due to loss of housing with long-term services and supports. NOFA was released on August 1. For more information see: http://www.calhfa.ca.gov/multifamily/section811/index.htm. As part of this effort, in FY 13-14  the Department began participating in in DHCS Long Term Services and Supports Advisory Committee to help DHCS housing locators better understand how to access affordable housing resources for their program consumers, and to improve housing options for low-income persons with disabilities

CalHFA's Mental Health Services Act program aims to enhance coordination between housing providers and providers of mental health services through the development of PSH serving persons with mental health disabilities. Although most of the MHSA housing funds have been allocated, the Counties of LA and Alameda transferred additional MHSA funding in FY 2012/2013. The Counties of San Diego, San Bernardino, Riverside, Shasta and LA hope to provide additional MHSA funds for housing through their Proposition 63 funds.

HCD also continues to participate in several interagency committees related to Smart Growth and increasing transit-oriented developments, infill housing, and other housing options to reduce the environmental impacts of commuting.

DPH/OA continues to collaborate with HCD through its involvement in the State’s Consolidated Plan and reporting processes. In addition, DPH/OA regularly coordinates with the Department of Health Care Services (DHCS) regarding MediCal and the Affordable Care Act, mental health services for persons living with HIV/AIDS, the AIDS MediCal Waiver Program, and the HIV testing component of the Substance Abuse Prevention and Treatment (SAPT) Block Grant.  DPH/OA also works with Stanford University as part of the National Medical Monitoring Project.

During FY 13-14 DPH/OA selected new Community Planning Group (CPG) members and convened a two-day member orientation to acquaint CPG members and DPH/OA staff, discuss CPG’s function, the 2014 work plan, the state of the HIV epidemic in California and how DPH/OA efforts address the epidemic, including the prevention of homelessness through the HOPWA program. Members of CPG include both voting and consulting members representing substance abuse, mental health, housing, and other local service provider entities.

Of the 27 HOPWA project sponsors, 93% participate in their local Ryan White Part B HIV/AIDS Advisory or Planning Group, and 81% actively participate in their local Continuum of Care planning group or homeless task force/coalition to ensure the HIV/AIDS community is represented.  All project sponsors provide case management services to clients, which include linkages to other agencies, enhancing collaborative relationships with other government and private service agencies.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

HCD continues to take actions to overcome identified impediments to fair housing pursuant to our Analysis of Impediments to Fair Housing (AI) completed in 2012. See the AI Implementation Status Report in the attachments to the CAPER, located at: [http://www.hcd.ca.gov/hpd/hrc/rep/fed//](http://www.hcd.ca.gov/hpd/hrc/rep/fed/)

## CR-40 - Monitoring 91.220 and 91.230

**Description of the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

CDBG administers the CDBG program, the Neighborhood Stabilization Program (NSP), the Disaster Recovery Initiative (DRI), and the DRI addendum program Disaster Recovery Enhancement Fund (DREF).The Monitoring Unit procedures cover all levels of federal and programmatic compliance, including federal overlays and program income. CDBG uses a risk assessment tool comprised of various risk factors such as the number of open CDBG contracts each jurisdiction has with the State, the total number of activities within all open CDBG contracts, expenditure of program income, A-133 Single Audit findings or non-submission, program income waiver activities, and assessments from program staff or management. The jurisdictions that rank the highest using the risk assessment tool, are the ones that are monitored within that monitoring year, as time and staffing permit. For each jurisdiction to be monitored, the Department determines which contracts the jurisdiction has that will be added to the monitoring. Once a monitoring is completed, for FY 2013/2014, the Department began a pilot program of including a conference call with the jurisdiction to also get their input into the determination of corrective actions to be included in Monitoring Reports and implemented before Monitoring Clearance Letters are sent. In addition, the Monitoring Unit is responsible for working with jurisdictions and program staff to clear State CDBG-related A-133 Audit findings. Each Planning and Technical Assistance (PTA) grant receives a desk monitoring prior to grant closeout. Grantees document citizen participation, equal opportunity and procurement, and submit a final written report or study by the end of the grant term. During FY 2013/2014, the Department completed 11 monitoring reviews representing 20 contracts, based on risk assessment results. Contracts monitored included NSP, Community Development Block Grant – Recovery (CDBG-R), CDBG Community Development and Economic Development activities and program income.

HOME: HOME does four primary types of types of monitoring for its funded activities. **(1) Close-out monitoring** is done for all-funded activities to assess overall compliance with the requirements of the HOME Regulations and Standard Agreement. In FY 12-13 HOME performed eight (8) close-out monitoring reviews for program activities. Eight monitorings were also was done for our completed rental and FTHB projects (done within 12 months of project completion). **(2)** **CHDO Project** **Long-Term Monitoring** is done by the State on rental and homebuyer projects involving CHDOs. On these projects, HCD holds the Note and Deed of Trust. The purpose of this monitoring is to assess ongoing compliance with HOME rent and occupancy requirements, fair housing requirements, and the ongoing physical and financial condition of the project. As part of this assessment, annual review of project rents, operating budgets, and financial statements is performed to check compliance with project rent and operating requirements pursuant to the Department’s Uniform Multifamily Regulations and 24 CFR 92.252. Annual Affirmative Marketing Reports and 5-year Affirmative Marketing Plans are also reviewed. Site visits to assess compliance with HOME income limits income verification requirements, rent restrictions and federal Housing Quality Standards are also performed. (UPCS standards will be used in 2015 as required.) Site visits are performed pursuant to the requirements set forth in 92.504 (d). In FY 13-14, 78 site visits were performed on CHDO loans. This is 100 % of our required monitoring. **(3)** **State Recipient Long-Term Monitoring** is an assessment of performance of the above monitoring activities by local jurisdictions. HOME State Recipients are the lenders for rental and homebuyer new construction and rehabilitation projects where they have applied directly to the State for HOME funds, rather than the CHDO being the recipient of the funds. An office review consists of an Annual Monitoring Report questionnaire and Project Compliance Report, which asks about project financial condition and compliance with other federal HOME requirements, a copy of the project’s utility allowance schedule (form HUD-52667); a copy of the State Recipient’s last long term monitoring Summary Letter and Clearance Letter to the project’s owner/manager, a copy of Physical Conditions report, and a copy of the project’s Annual Affirmative Marketing Analysis Report.  In FY 13-14, 192 assessments of State Recipient monitoring activities were performed. Following from these assessments, HCD staff monitors individual projects directly, where risk assessment of individual project compliance with HOME requirements indicates that these projects should receive a site-visit from HCD staff. In FY 13-14 Nine (9) of these site visits by HCD staff were done. A total of 169 site visits were performed by State recipients. More information regarding the results of all HOME project site visits, whether done by HCD or State Recipient staff, is discussed in **CR 50** and the accompanying attachment. **(4) Specific Federal Overlay Compliance Reviews** are also done throughout the year. Particular areas of emphasis include Davis-Bacon and Environmental Compliance. In FY 13-14 eightsite visits or desk reviews were performed for Davis-Bacon compliance.  Eight reviews of State Recipient rental new construction or rehabilitation Environmental Assessments were conducted in conjunction with close-out monitoring. MBE/WBE and Section 3 goals assessment and outreach activities monitored as part of our grantee Annual Performance Reports. See the Annual Performance Report Form at: <http://www.hcd.ca.gov/fa/home/fiscalindex.html> for more information.

ESG: The ESG program monitors subgrantee performance primarily through desk monitoring of financial expenditures to ensure that requests for ESG funds comply with the HUD ESG expenditure guide. Other federal requirements are also monitored including, but not limited to, Written Standards requirements and participation in HMIS.

LHCP: Monitoring performance standards for LHCP are evaluated on a quarterly basis taking into account the number of inspections, units completed, units referred from local housing/health agencies, and expenditures as proposed by quarter and compared to the actual completed. In addition, the timeliness of fiscal and programmatic reports, quality of programmatic reports, results of on-site monitoring, results of Lead Hazard Control Program inspections, and timeliness to resolve performance deficiencies will be monitored. Continued periodic field visits to supervise work activities, training and technical assistance, on-site visits, and desk reviews are utilized to ensure program contractual compliance. When the Lead Hazard Control Program requires subcontracting activities, we are to follow State procurement procedures, which includes the open and fair competitive bidding process and using minority owned businesses. This is also part of monitoring standards and procedures.

HOPWA Project sponsors are required to submit annual applications to DPH/OA, which include a program implementation plan describing community planning and collaboration efforts and anticipated goals, and a budget detail of activities to be provided. When selecting new project sponsors, DPH/OA will include outreach to minority businesses, faith-based, and grass roots organizations in the Request for Application process.

Monitoring activities include both onsite compliance monitoring visits and desk monitoring through review and analysis of Progress Reports and invoice back-up details, and webinars and telephonic technical assistance with project sponsors. CDPH/OA has developed monitoring procedures and tools based on the HUD Monitoring Handbook for HOPWA. A periodic project sponsor risk analysis is completed, which rates project sponsor performance in descending order, from highest to lowest risk. During FY 2013-13, project sponsors with the highest risk scores were monitored.

**Citizen Participation Plan 91.105(d); 91.115(d)**

**Description of the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports**

Pursuant to 24 CFR Part 91, citizen participation requirements for States, the State provides adequate notice at the opening of the 15 day comment period and the public hearing on the CAPER. The notice is provided by wide distribution (including but not limited to county planning departments, depository libraries, distribution through electronic mailing lists of the affected federal programs, and posting on HCD’s website). Copies of the CAPER Public Notices are included with the CAPER at: http://www.hcd.ca.gov/hpd/hrc/rep/fed/.

In addition to comments received through public hearings, the State will accept public comments in any form convenient to the public, including through written responses, facsimile, and e-mail. The State will consider all comments and views received in writing or orally at public hearings in preparing the CAPER. A summary of all comments received on the 2013-14 CAPER and the State's responses are included below.

CAPER Comments Received

Below is a summary of comments received on the Draft CAPER by Self-Help Enterprises, (SHE) along with the Department’s responses. A copy of the full comment letter from SHE has been provided to HUD along with the Department’s CAPER submission.

Summary Comment #1: The Department should discontinue awarding HOME Application rating points to development projects sited outside of areas of minority concentration pursuant to the State’s Analysis of Impediments (AI) Recommendation 8-3. Projects in communities that have a high concentration of minorities compared to the county as a whole will not be able to receive these points. This disadvantages low-income minority populations, such as farmworkers, who often live in these communities.

Response: Pursuant to the State’s obligation to Affirmatively Further Fair Housing as a recipient of HUD funds, Recommendation 8-3 was instituted to provide some incentive to rental and homebuyer project developers to site projects, where possible, outside of areas of minority concentration so that low-income minority households might have a range of affordable housing options both within and outside of areas of minority concentration.

In offering these points, the Department is not excluding projects located in areas of minority concentration from receiving HOME funds. Since the Department began awarding these points in 2012, of the 12 projects that have received HOME funds to date, seven of the funded projects (58%) are located in areas of minority concentration, and as such did not receive these points

Minority concentration data for completed projects published with the FY 13-14 CAPER shows that although HOME’s funded activities are predominately located outside of minority concentration (60%), the majority of these activities are homeowner activities. Similarly, 56% of the completed rental projects (9 of 16) were located in areas of minority concentration.

HOME data for both awarded rental and homebuyer projects and completed activities continue to indicate a need to take modest steps to provide incentives to developers to provide housing opportunities outside of areas of minority concentration. Other factors such as HOME’s Community Need rating points and the CHDO and Rural Area set-asides will continue to ensure that projects within areas of minority concentration also receive HOME funds.

Summary Comment #2: AI Recommendation 8-3 *(discussed above)* is in direct conflict with Impediment #9 of the AI which identifies underserving minorities as an impediment to affirmatively furthering fair housing. Awarding points to projects located outside of areas of minority concentration will decrease the number of minorities served by the HOME Program.

Response: As stated above, HOME continues to fund many activities within areas of minority concentration. Since the projects awarded points for being sited outside of areas of minority concentration have not yet completed construction, (awards made in 2012 and 2013), HOME does not yet have race/ethnicity data for these projects; however, one cannot assume that just because these projects are located outside of areas of minority concentration that no non-Whites or Hispanics will be residing in these properties.

The minority concentration standard used by HOME compares the percentage of minorities, (persons who are non-White or Hispanic) in the project census tract to the percentage of minorities in the project county. If the census tract minority percentage exceeds the county minority percentage by more than 10%, that project census tract is considered to have an overconcentration of minorities. This does not mean, however, that the project county itself does not have a high concentration of minorities.

Our FY 2013-14 completed projects data indicates that among the seven rental projects that were sited in census tracts that were not minority concentrated, the percentages of minorities in these counties as a whole ranged from 21% - 84%, with the majority of these counties having minority percentages above 45%. Race and ethnicity data for these recently completed projects, shows that four of them have high numbers of Hispanics. Hence, one cannot assume that just because a project is in a census tract that is not minority concentrated, that the project will not be serving minorities.

Summary Comment #3

The Department should permit rental projects proposing use of 9% Low-Income Housing Tax Credits to receive HOME funds. Rental projects located in lower-rent/lower-income areas cannot support any debt service. Being restricted to use of 4% tax credits with only HOME funds makes many deals in these areas infeasible, is contrary to the objective of HOME to foster and maintain affordable housing, and is a public policy barrier to the development of affordable housing in these areas.

Response

Although the Department understands the advantages of using 9% tax credits over 4%, the Department disagrees that its current prohibition on use of 9% credits in HOME deals is preventing projects from being developed in lower-rent/ lower income rural areas. The program is still oversubscribed in these areas, indicating that rural projects can still be feasible with 4% tax credits and $4-4.5 million in HOME funds. Until the State HOME Program can ensure that it can meet its HUD commitment and expenditure deadlines with 9% projects, it will continue to put HOME resources into projects which are nearly certain to secure a 4% tax credit/bond commitment soon after the HOME award, and be completed in a timely manner.

## CR-45 - CDBG 91.520(c)

**Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences**

The Department continues to improve processes and procedures to bring the program into alignment with its current objectives and CDBG statute and regulation.  As is stated in federal statute, *the local jurisdictions know best what their greatest needs are* and the Department objective is to facilitate CDBG funding to meet those needs in manner consistent and compliant with CDBG statute and regulation. Overall, the State’s local jurisdictions tend to focus on housing, public infrastructure and public services. There are a handful of jurisdictions with robust economic development programs, which are focal in their utilization of CDBG funding.

In FY 2013, the program updated its State Objective points to give additional priority to potable water infrastructure and homeownership assistance. These objectives were set due to the fact that some of the state’s main infrastructure is at least 100 years old,  and the Department’s AI showed  that it needs to try to encourage more homeownership opportunities for minorities. These changes resulted in 36% of funding for FY 13 being awarded for potable water projects.   The homeownership assistance efforts are still challenging since access to first mortgage credit is still very difficult. CDBG continued with these same State Objective issue areas in the 2014 NOFA, given the importance of these two issues.

|  |  |
| --- | --- |
| **Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?** | No |

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

## CR-50 - HOME 91.520(d)

**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

**Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.**

All projects for which an inspection was required were inspected. See CAPER Attachment: “HOME Monitoring Data”, for this information.

**Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

New construction and rehabilitation rental project activities: For these activities, the State uses the HUD Affirmative Marketing Form 935.2A to evaluate a project owner’s 5-year affirmative marketing plan. The form is evaluated prior to loan closing. In addition, projects must submit an annual reporting form similar to the 935.2A which requires owners to annually assess the effectiveness of their affirmative marketing efforts. For HOME FTHB, OOR, and TBRA activities, HOME Recipients must complete a Demographic Analysis Form which requires them to examine the racial, ethnic, gender, age, and disability Census characteristics of the HOME jurisdiction compared to the program’s applicants, beneficiaries, rejected and wait-listed heads of household. If under-or-over-representation by more than 10% exists, the jurisdiction must describe and implement actions to correct this imbalance. HOME uses these tools to monitor local activity demographics for imbalances of protected classes, and to engage property managers and local program operators in discussions about ways to address identified imbalances. Over time, these imbalances are corrected or alleviated through targeted marketing in the local community See: http://www.hcd.ca.gov/hpd/hrc/rep/fed/AffirmativeMktgProg.htm for copies of the forms.

Understanding of the importance of affirmative marketing and the barriers to program participation due to race, ethnicity, gender, age, or disability status has increased through the use of these tools, and through information provided identifying particular barriers to accessing assistance such as inconsistent program funding levels, poor consumer credit, hesitancy to take on additional homeownership debt, and higher incomes among certain groups versus others.

**Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

Total Program Income available for 2013-2014 was $18,116,151. This includes a beginning balance of $11,932,745, and $6,183,406 in Program Income and Recaptured funds received ($1,175,222 received by HCD and $5,008,184 received by HOME State Recipients). Out of the $1,175,222 received by HCD, HCD encumbered and disbursed $829,650 through existing contracts during 2013-2014 and retained $117,522 for administration.

HOME State Recipients received $5,008,184 in Program Income as follows: 1) Program Income $3,478,463 and 2) Recaptured Funds $1,529,721. State Recipients expended $3,196,658 to provide affordable housing during 2013-14 and $337,313 was expended for administrative costs.

Compiled data to date shows that the majority of PI project beneficiaries for FY 13-14 are non-Hispanic White homeowners in elderly or related two-parent households, (OOR and FTHB activities), earning between 30-50% and 61-80% of median. The majority of units funded were 2 and 3-bedrooms, and most household sizes ranged from 1-4 person households. Note that the number of rental projects funded with PI is typically lower due to lower amounts of PI available at any given time, not enough to completely fund a rental project and not often enough to fund the few rental projects underway at any given time.

The information above is the accomplishments for projects funded only from Program Income and no grant funds. We have entered 80% of these household demographics funded by Program Income in IDIS and this is reflected in the data from PR23 for the FY 2013/2014.  The remaining 20% of the demographic information derived from Program Income will be reflected in the 2014/2015 CAPER.

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

HCD’s rental housing production programs continue to consult with the California Tax Credit Allocation Committee on jointly-funded projects. Other current efforts to foster and maintain affordable housing were previously discussed in CR 35. In addition, given the size and scope of housing and community development needs  in California, a review of each program’s obstacles to meeting the State’s affordable housing needs  occurs each year after a funding round has been completed and prior to the beginning of the next one. Each program seeks to further refine its method of distribution when necessary to adjust to changing markets and economic conditions in the state.

**CR-55 - HOPWA 91.520(e)**

**Identify the number of individuals assisted and the types of assistance provided**

**Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.**

|  |  |  |
| --- | --- | --- |
| **Number of Households Served Through:** | **One-Year Goal** | **Actual** |
| **Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family** | 900 | 887 |
| **Tenant-based rental assistance** | 100 | 79 |
| **Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds** | 0 | 0 |
| **Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds** | 130 | 68 |
| **Total** | 1,130 | 1,037 |

Table 14 – HOPWA Number of Households Served

**Narrative**

## CR-60 - ESG 91.520(g) (ESG Recipients only)

**ESG Supplement to the CAPER in *e-snaps***

**For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

|  |  |
| --- | --- |
| **Recipient Name** | CALIFORNIA |

|  |  |
| --- | --- |
| **Organizational DUNS Number** | 021225490 |

|  |  |
| --- | --- |
| **EIN/TIN Number** | 680303547 |

|  |  |
| --- | --- |
| **Identify the Field Office** | SAN FRANCISCO |

|  |  |
| --- | --- |
| **Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance** | Daly/San Mateo County CoC  Marin County CoC  Oakland/Alameda CoC  Santa Rosa/Petaluma/Sonoma County CoC  Richmond/Contra Costa CoC  Salinas/Monterey CoC  Watsonville/Santa Cruz City and County CoC  Visalia/Kings/Tulare CoC  Fresno/Madera CoC  Nevada/Placer CoC  Davis/Woodland/Yolo CoC  Humboldt CoC  Yuba City, Marysville/Sutter, Yuba Counties CoC  El Dorado CoC  Los Angeles City and County CoC  San Buenaventura/Ventura County CoC  Riverside City and County CoC  Tuolumne/Calaveras/Amador Counties CoC  Napa City and County CoC  Santa Maria/ Santa Barbara County CoC  San Diego City And County CoC  Santa Ana/Anaheim/Orange County CoC  Chico/Paradise/Butte County CoC  Dos Rios CoC |

**ESG Contact Name**

|  |  |
| --- | --- |
| **Prefix** | Mrs. |

|  |  |
| --- | --- |
| **First Name** | Coral |

|  |  |
| --- | --- |
| **Middle Name** |  |

|  |  |
| --- | --- |
| **Last Name** | Gaines |

|  |  |
| --- | --- |
| **Suffix** |  |

|  |  |
| --- | --- |
| **Title** | Program Manager |

**ESG Contact Address**

|  |  |
| --- | --- |
| **Street Address 1** | 2020 W. El Camino |

|  |  |
| --- | --- |
| **Street Address 2** |  |

|  |  |
| --- | --- |
| **City** | Sacramento |

|  |  |
| --- | --- |
| **State** | CA |

|  |  |
| --- | --- |
| **ZIP Code** | 95833 |

|  |  |
| --- | --- |
| **Phone Number** | 916-263-2734 |

|  |  |
| --- | --- |
| **Extension** |  |

|  |  |
| --- | --- |
| **Fax Number** |  |

|  |  |
| --- | --- |
| **Email Address** | Coral.Gaines@hcd.ca.gov |

**ESG Secondary Contact**

|  |  |
| --- | --- |
| **Prefix** | Mrs. |

|  |  |
| --- | --- |
| **First Name** | Rebecca |

|  |  |
| --- | --- |
| **Last Name** | Matt |

|  |  |
| --- | --- |
| **Suffix** |  |

|  |  |
| --- | --- |
| **Title** | Section Chief |

|  |  |
| --- | --- |
| **Phone Number** | 916-263-2736 |

|  |  |
| --- | --- |
| **Extension** |  |

|  |  |
| --- | --- |
| **Email Address** | Rebecca.Matt@hcd.ca.gov |

**2. Reporting Period—All Recipients Complete**

|  |  |
| --- | --- |
| **Program Year Start Date** | 07/01/2013 |

|  |  |
| --- | --- |
| **Program Year End Date** | 06/30/2014 |

**3. Subrecipient Form – Complete one form for each subrecipient**

|  |
| --- |
| **Subrecipient or Contractor Name:** Davis Community Meals **City:** Davis **State:** CA **Zip Code:** 95617, 2463 **DUNS Number:** 942574690 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 62750 |
| **Subrecipient or Contractor Name:** North County Solutions For Change, Inc. **City:** Vista **State:** CA **Zip Code:** 92083, 3565 **DUNS Number:** 861222136 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 344018 |
| **Subrecipient or Contractor Name:** Building Futures For Women With Children **City:** San Leandro **State:** CA **Zip Code:** 94577, 5103 **DUNS Number:** 788170355 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 150000 |
| **Subrecipient or Contractor Name:** Domestic Violence Solutions For Santa Barbara County **City:** Santa Barbara **State:** CA **Zip Code:** 93102, 1536 **DUNS Number:** 131252488 **Is subrecipient a victim services provider:** Y **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 237578 |
| **Subrecipient or Contractor Name:** Good Samaritan Shelter **City:** Lompoc **State:** CA **Zip Code:** 93436, 5830 **DUNS Number:** 023282457 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 230000 |
| **Subrecipient or Contractor Name:** Interfaith Shelter Network, Inc. **City:** Santa Rosa **State:** CA **Zip Code:** 95405, 6959 **DUNS Number:** 040172400 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 972596 |
| **Subrecipient or Contractor Name:** Spare-a-Dime **City:** Susanville **State:** CA **Zip Code:** 96130, 4708 **DUNS Number:** 001107403 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 132000 |
| **Subrecipient or Contractor Name:** Women’s Crisis Shelter in Southern Humboldt **City:** Garberville **State:** CA **Zip Code:** 95542, 0642 **DUNS Number:** 932533441 **Is subrecipient a victim services provider:** Y **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 132000 |
| **Subrecipient or Contractor Name:** Arcata House **City:** Arcata **State:** CA **Zip Code:** 95521, 5502 **DUNS Number:** 011854150 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 235000 |
| **Subrecipient or Contractor Name:** Foothill House of Hospitality **City:** Grass Valley **State:** CA **Zip Code:** 95945, 6704 **DUNS Number:** 006461814 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 510000 |
| **Subrecipient or Contractor Name:** United Christian Centers, of the Greater Sacramento Area **City:** West Sacramento **State:** CA **Zip Code:** 95605, 2748 **DUNS Number:** 098869621 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 134688 |
| **Subrecipient or Contractor Name:** Transition House **City:** Santa Barbara **State:** CA **Zip Code:** 93101, 1662 **DUNS Number:** 930390448 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 436231 |
| **Subrecipient or Contractor Name:** Homeward Bound of Marin **City:** Novato **State:** CA **Zip Code:** 94949, 8276 **DUNS Number:** 949337059 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 226250 |
| **Subrecipient or Contractor Name:** Homeless Services Center **City:** Santa Cruz **State:** CA **Zip Code:** 95065, 1201 **DUNS Number:** 879989929 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 765750 |
| **Subrecipient or Contractor Name:** Ford Street Project **City:** Ukiah **State:** CA **Zip Code:** 95482, 4011 **DUNS Number:** 186275194 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 105000 |
| **Subrecipient or Contractor Name:** Placer Women’s Center, Inc. **City:** Auburn **State:** CA **Zip Code:** 95604, 5462 **DUNS Number:** 165959859 **Is subrecipient a victim services provider:** Y **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 129618 |
| **Subrecipient or Contractor Name:** The Lazarus Project, Inc. **City:** Roseville **State:** CA **Zip Code:** 95678, 8241 **DUNS Number:** 066805925 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 97653 |
| **Subrecipient or Contractor Name:** Many Mansions, A California Nonprofit Corporation **City:** Thousand Oaks **State:** CA **Zip Code:** 91362, 6221 **DUNS Number:** 168672236 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 125308 |
| **Subrecipient or Contractor Name:** The Center for Violence-free Relationships **City:** Placerville **State:** CA **Zip Code:** 95667, 3971 **DUNS Number:** 808730469 **Is subrecipient a victim services provider:** Y **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 35000 |
| **Subrecipient or Contractor Name:** SHELTER, Inc. of Contra Costa County **City:** Martinez **State:** CA **Zip Code:** 94553, 4219 **DUNS Number:** 625691985 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 434523 |
| **Subrecipient or Contractor Name:** Catholic Charities of the Diocese of Santa Rosa **City:** Santa Rosa **State:** CA **Zip Code:** 95403, 2048 **DUNS Number:** 965907962 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 954000 |
| **Subrecipient or Contractor Name:** Whittier Area First Day Coalition **City:** Whittier **State:** CA **Zip Code:** 90602, 1017 **DUNS Number:** 033691531 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 132000 |
| **Subrecipient or Contractor Name:** Catholic Charities, of San Diego **City:** San Diego **State:** CA **Zip Code:** 92101, 3112 **DUNS Number:** 056747561 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 394000 |
| **Subrecipient or Contractor Name:** Mountain Crisis Services, Inc. **City:** Mariposa **State:** CA **Zip Code:** 95338, 2075 **DUNS Number:** 942067794 **Is subrecipient a victim services provider:** Y **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 145367 |
| **Subrecipient or Contractor Name:** Ocean Park Community Center **City:** Santa Monica **State:** CA **Zip Code:** 90404, 2715 **DUNS Number:** 084337922 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 93850 |
| **Subrecipient or Contractor Name:** Champions Recovery Alternatives Program, Inc. **City:** Hanford **State:** CA **Zip Code:** 93230, 3814 **DUNS Number:** 033707519 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 130000 |
| **Subrecipient or Contractor Name:** Amador-Tuolumne Community Action Agency  **City:** Jackson **State:** CA **Zip Code:** 95642, 2673 **DUNS Number:** 105920748 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 339394 |
| **Subrecipient or Contractor Name:** 1736 Family Crisis Center **City:** Los Angeles **State:** CA **Zip Code:** 90018, 1353 **DUNS Number:** 618216519 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 284250 |
| **Subrecipient or Contractor Name:** The Salvation Army, A California Corporation **City:** Watsonville **State:** CA **Zip Code:** 95076, 5027 **DUNS Number:** 074629460 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 132000 |
| **Subrecipient or Contractor Name:** Redwood Community Action Agency **City:** Eureka **State:** CA **Zip Code:** 95501, 1829 **DUNS Number:** 120803853 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 111782 |
| **Subrecipient or Contractor Name:** Sierra County Child Abuse Council **City:** Loyalton **State:** CA **Zip Code:** 96118, 1016 **DUNS Number:** 827619073 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 128000 |
| **Subrecipient or Contractor Name:** Kings Community Action Organization **City:** Hanford **State:** CA **Zip Code:** 93230, 3608 **DUNS Number:** 095635413 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 132000 |
| **Subrecipient or Contractor Name:** Community Action of Napa Valley **City:** Napa **State:** CA **Zip Code:** 94559, 3155 **DUNS Number:** 119843340 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 502358 |
| **Subrecipient or Contractor Name:** High Dessert Homeless Services, Inc. **City:** Victorville **State:** CA **Zip Code:** 92392, 2493 **DUNS Number:** 938252913 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 132000 |
| **Subrecipient or Contractor Name:** Community Overcoming Relationship Abuse **City:** Burlingame **State:** CA **Zip Code:** 94011, 4245 **DUNS Number:** 015862386 **Is subrecipient a victim services provider:** Y **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 432000 |
| **Subrecipient or Contractor Name:** Glenn County Human Resources Agency **City:** Willows **State:** CA **Zip Code:** 95988, 3115 **DUNS Number:** 797375367 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Unit of Government **ESG Subgrant or Contract Award Amount:** 283786 |
| **Subrecipient or Contractor Name:** Community Action Partnership of Sonoma County **City:** Santa Rosa **State:** CA **Zip Code:** 95401, 7112 **DUNS Number:** 078769312 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 132000 |
| **Subrecipient or Contractor Name:** Carrillo Counseling Services, Inc. **City:** Santa Barbara **State:** CA **Zip Code:** 93101, 7439 **DUNS Number:** 071310010 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 198358 |
| **Subrecipient or Contractor Name:** Plumas Crisis Intervention and Resource Center **City:** Quincy **State:** CA **Zip Code:** 95971, 9143 **DUNS Number:** 003377715 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 380000 |
| **Subrecipient or Contractor Name:** The Salvation Army **City:** Grass Valley **State:** CA **Zip Code:** 95945, 5509 **DUNS Number:** 074629460 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 354129 |
| **Subrecipient or Contractor Name:** Fullerton Interfaith Emergency Services **City:** Fullerton **State:** CA **Zip Code:** 92834, 6326 **DUNS Number:** 555890946 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 371100 |
| **Subrecipient or Contractor Name:** Samaritan House **City:** San Mateo **State:** CA **Zip Code:** 94403, 4666 **DUNS Number:** 884486341 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 407897 |
| **Subrecipient or Contractor Name:** Shelter Outreach Plus **City:** Salinas **State:** CA **Zip Code:** 93906, 4024 **DUNS Number:** 165368010 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 50000 |
| **Subrecipient or Contractor Name:** Antelope Valley Domestic Violence Council **City:** Lancaster **State:** CA **Zip Code:** 93539, 2980 **DUNS Number:** 849371117 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 122327 |
| **Subrecipient or Contractor Name:** Community Action Board of Santa Cruz County, Inc. **City:** Watsonville **State:** CA **Zip Code:** 95076, 4639 **DUNS Number:** 039080585 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 150000 |
| **Subrecipient or Contractor Name:** Families in Transition of Santa Cruz County, Inc. **City:** Watsonville **State:** CA **Zip Code:** 95076, 4623 **DUNS Number:** 883845265 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 150000 |
| **Subrecipient or Contractor Name:** Ritter Center **City:** San Rafael **State:** CA **Zip Code:** 94912, 3517 **DUNS Number:** 052949815 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 380000 |
| **Subrecipient or Contractor Name:** The Gathering Inn **City:** Roseville **State:** CA **Zip Code:** 95678, 0297 **DUNS Number:** 189981918 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 330325 |
| **Subrecipient or Contractor Name:** Family Emergency Shelter Coalition **City:** Hayward **State:** CA **Zip Code:** 94541, 2166 **DUNS Number:** 800768269 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 227250 |
| **Subrecipient or Contractor Name:** Live Violence Free **City:** South Lake Tahoe **State:** CA **Zip Code:** 96150, 7804 **DUNS Number:** 123543811 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 224545 |
| **Subrecipient or Contractor Name:** The Salvation Army, a California Corporation **City:** Marysville **State:** CA **Zip Code:** 95901, 5629 **DUNS Number:** 074629460 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 677915 |
| **Subrecipient or Contractor Name:** Roseville Home Start, Inc. **City:** Roseville **State:** CA **Zip Code:** 95678, 3124 **DUNS Number:** 801955261 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 230000 |
| **Subrecipient or Contractor Name:** Upward Bound House **City:** Santa Monica **State:** CA **Zip Code:** 90403, 4119 **DUNS Number:** 969224260 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 230000 |
| **Subrecipient or Contractor Name:** Alternatives to Violence **City:** Red Bluff **State:** CA **Zip Code:** 96080, 0135 **DUNS Number:** 932055726 **Is subrecipient a victim services provider:** Y **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 167250 |
| **Subrecipient or Contractor Name:** Westside Domestic Violence Shelter **City:** Orland **State:** CA **Zip Code:** 95963, 0642 **DUNS Number:** 830358144 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 150000 |
| **Subrecipient or Contractor Name:** Family Resource Center of Truckee **City:** Truckee **State:** CA **Zip Code:** 96161, 4953 **DUNS Number:** 122591253 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 198500 |
| **Subrecipient or Contractor Name:** Committee in the Shelterless **City:** Petaluma **State:** CA **Zip Code:** 94953, 2744 **DUNS Number:** 960253235 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 397250 |
| **Subrecipient or Contractor Name:** Yolo Wayfarer Center (Christian Mission) **City:** Woodland **State:** CA **Zip Code:** 95776, 1248 **DUNS Number:** 184667079 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 334500 |
| **Subrecipient or Contractor Name:** Yolo Family Resource Center **City:** Woodland **State:** CA **Zip Code:** 95695, 3517 **DUNS Number:** 131047263 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 230000 |
| **Subrecipient or Contractor Name:** Center for Domestic Peace **City:** San Rafael **State:** CA **Zip Code:** 94901, 3923 **DUNS Number:** 057382079 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 167250 |
| **Subrecipient or Contractor Name:** The Salvation Army **City:** Hanford **State:** CA **Zip Code:** 93230, 4010 **DUNS Number:** 074629460 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 230000 |
| **Subrecipient or Contractor Name:** Central California Family Crisis Center **City:** Porterville **State:** CA **Zip Code:** 93257, 2355 **DUNS Number:** 173267618 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 150000 |
| **Subrecipient or Contractor Name:** InnVision Shelter Network **City:** Burlingame **State:** CA **Zip Code:** 94010, 4044 **DUNS Number:** 792738726 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 796784 |
| **Subrecipient or Contractor Name:** The Salvation Army **City:** Ventura **State:** CA **Zip Code:** 93001, 2703 **DUNS Number:** 074629460 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 362000 |
| **Subrecipient or Contractor Name:** Turning Point of Central California **City:** Visalia **State:** CA **Zip Code:** 93290, 7447 **DUNS Number:** 151096419 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 79875 |
| **Subrecipient or Contractor Name:** Chico Community Shelter Partnership **City:** Chico **State:** CA **Zip Code:** 95928, 4402 **DUNS Number:** 104645630 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 299250 |
| **Subrecipient or Contractor Name:** Ruby’s Place **City:** Hayward **State:** CA **Zip Code:** 94541, 4202 **DUNS Number:** 114432776 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 165000 |
| **Subrecipient or Contractor Name:** Stand Up Placer, Inc. **City:** Auburn **State:** CA **Zip Code:** 95604, 5462 **DUNS Number:** 165959859 **Is subrecipient a victim services provider:** Y **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 167250 |
| **Subrecipient or Contractor Name:** Community Action Agency of Butte County, Inc. **City:** Chico **State:** CA **Zip Code:** 95973, 9530 **DUNS Number:** 147541270 **Is subrecipient a victim services provider:** N **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 300000 |
| **Subrecipient or Contractor Name:** Community Resource Center **City:** Encinitas  **State:** CA **Zip Code:** 92024 **DUNS Number:** 193789690 **Is subrecipient a victim services provider:** No **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 520500 |
| **Subrecipient or Contractor Name:** Cornerstone Community Development Corporation **City**: San Leandro **State:** CA **Zip Code:** 94577 **DUNS Number:** 788170355 **Is subrecipient a victim services provider:** No **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 447478 |
| **Subrecipient or Contractor Name:** Foothill Family Shelter, Inc. **City:** Upland **State:** CA **Zip Code:** 91786 **DUNS Number:** 838582179 **Is subrecipient a victim services provider:** No **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount**: 100000 |
| **Subrecipient or Contractor Name**: Interval House **City:** Huntington Beach & Westminster **State:** CA **Zip Code:** 92647 & 92683 **DUNS Number:** 113510176 **Is subrecipient a victim services provider:** No **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 512000 |
| **Subrecipient or Contractor Name:** Martha’s Village & Kitchen, Inc. **City**: Indio **State:** CA **Zip Code:** 113510176 **DUNS Number:** 123443157 **Is subrecipient a victim services provider**: No **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 362000 |
| **Subrecipient or Contractor Name:** The Homeless Coalition of San Benito County **City:** Hollister **State:** CA **Zip Code:** 95024 **DUNS Number:** 933317591 **Is subrecipient a victim services provider:** No **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount**: 219000 |
| **Subrecipient or Contractor Name**: The Salvation Army **City:** Santa Barbara **State:** CA **Zip Code:** 93101 **DUNS Number:** 074629460 **Is subrecipient a victim services provider:** No **Subrecipient Organization Type:** Other Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 152250 |
| **Subrecipient or Contractor Name:** Turning Point Foundation **City:** Ventura **State:** CA **Zip Code:** 93001 **DUNS Number:** 608847216 **Is subrecipient a victim services provider:** No **Subrecipient Organization Type: Other** Non-Profit Organization **ESG Subgrant or Contract Award Amount:** 197760 |

## CR-65 - Persons Assisted

**4. Persons Served**

**4a. Complete for Homelessness Prevention Activities**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | 1,583 |
| Children | 1,296 |
| Don't Know/Refused/Other | 1 |
| Missing Information | 0 |
| **Total** | 2,880 |

Table 15 – Household Information for Homeless Prevention Activities

**4b. Complete for Rapid Re-Housing Activities**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | 1,932 |
| Children | 1,004 |
| Don't Know/Refused/Other | 0 |
| Missing Information | 0 |
| **Total** | 2,936 |

Table 16 – Household Information for Rapid Re-Housing Activities

**4c. Complete for Shelter**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | 9,728 |
| Children | 3,515 |
| Don't Know/Refused/Other | 6 |
| Missing Information | 4 |
| **Total** | 13,253 |

Table 17 – Shelter Information

**4d. Street Outreach**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | 2,912 |
| Children | 48 |
| Don't Know/Refused/Other | 484 |
| Missing Information | 1 |
| **Total** | 3,445 |

Table 18 – Household Information for Street Outreach

**4e. Totals for all Persons Served with ESG**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | 16,155 |
| Children | 5,863 |
| Don't Know/Refused/Other | 491 |
| Missing Information | 5 |
| **Total** | 22,514 |

Table 19 – Household Information for Persons Served with ESG

**5. Gender—Complete for All Activities**

|  |  |
| --- | --- |
|  | **Total** |
| Male | 10,992 |
| Female | 10,968 |
| Transgender | 22 |
| Don't Know/Refused/Other | 426 |
| Missing Information | 0 |
| **Total** | 22,408 |

Table 20 - Gender Information

**6. Age—Complete for All Activities**

|  |  |
| --- | --- |
|  | **Total** |
| Under 18 | 5,873 |
| 18-24 | 2,527 |
| 25 and over | 13,124 |
| Don't Know/Refused/Other | 718 |
| Missing Information | 242 |
| **Total** | 22,484 |

Table 21 – Age Information

**7. Special Populations Served—Complete for All Activities**

**Number of Persons in Households**

| **Subpopulation** | **Total Persons Served – Prevention** | **Total Persons Served – RRH** | **Total Persons Served in Emergency Shelters** | **Total** |
| --- | --- | --- | --- | --- |
| Veterans | 822 | 68 | 183 | 1179 |
| Victims of Domestic Violence | 3810 | 322 | 499 | 6,441 |
| Elderly | 555 | 119 | 213 | 1,008 |
| HIV/AIDS | 118 | 9 | 13 | 140 |
| Chronically Homeless | 2,793 | 0 | 588 | 4,735 |

| **Persons with Disabilities:** | | | | |
| --- | --- | --- | --- | --- |
| Severely Mentally Ill | 2,727 | 215 | 396 | 3,475 |
| Chronic Substance Abuse | 3,588 | 123 | 459 | 4,311 |
| Other Disability | 4,307 | 443 | 658 | 5,568 |
| Total (Unduplicated if possible) | 7,411 | 761 | 1,907 | 11,765 |

Table 22 – Special Population Served

**7. Special Populations Served Cont.**

|  |  |
| --- | --- |
| **Subpopulation** | **Total Persons Served –**  **Street Outreach** |
| Veterans | 106 |
| Victims of Domestic Violence | 1810 |
| Elderly | 121 |
| HIV/AIDS | 0 |
| Chronically Homeless | 1354 |
| Severely Mentally Ill | 137 |
| Chronic Substance Abuse | 141 |
| Other Disability | 160 |
| Total (unduplicated if possible) | 1686 |

\*Street Outreach data is included in total above.

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

**10. Shelter Utilization**

|  |  |
| --- | --- |
| Number of New Units - Rehabbed | 0 |
| Number of New Units - Conversion | 0 |
| Total Number of bed-nights available | 998,182 |
| Total Number of bed-nights provided | 770,795 |
| Capacity Utilization | 77.22% |

Table 23 – Shelter Capacity

**11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)**

**Outcomes for Homelessness Prevention Activities**

|  |  |  |
| --- | --- | --- |
|  | **# of At-Risk Individuals** | **# of At-Risk Households** |
| At-Risk who obtain/retain employment: | 681 | 416 |
| At-Risk who obtain/retain other income (e.g. SSI, TANF, or General Assistance): | 759 | 531 |

**Outcomes for Rapid Re-housing Activities**

|  |  |  |
| --- | --- | --- |
|  | **# of Homeless Individuals** | **# of Homeless Households** |
| Homeless who will exit and obtain Permanent Housing: | 1854 | 851 |
| Homeless who will exit and obtain/retain employment: | 778 | 532 |
| Homeless who will exit and obtain/retain other income (e.g. SSI, TANF, or General Assistance): | 944 | 561 |

**Outcomes for Emergency Shelter Activities (NOT including Transitional Housing and Day Centers)**

|  |  |
| --- | --- |
| Homeless Individuals who have exited this Emergency Shelter and moved into Permanent Housing: | 2790 |
| Homeless Individuals who have exited this Emergency Shelter and moved into Transitional Housing: | 1423 |
| Homeless Adults who exited and obtained/retained employment: | 1319 |
| Homeless Adults who exited and obtained/retained other income (e.g. SSI, TANF, or General Assistance): | 2816 |
| Homeless Adults who exited and stabilized a mental illness or chemical addiction for a minimum of 30 days: | 2152 |

**Outcomes for Transitional Housing Activities**

|  |  |
| --- | --- |
| Homeless Individuals who have exited this Transitional Housing and moved into Permanent Housing: | 1082 |
| Homeless Individuals who have exited this Transitional Housing and moved into Emergency Shelter: | 117 |
| Homeless Adults who exited and obtained/retained employment: | 311 |
| Homeless Adults who exited and obtained/retained other income (e.g. SSI, TANF, or General Assistance): | 456 |
| Homeless Adults who exited and stabilized a mental illness or chemical addiction for a minimum of 90 days: | 337 |

**Outcomes for Day Center Activities**

|  |  |
| --- | --- |
| Homeless Individuals who have exited this Day Center and moved into Permanent Housing: | 200 |
| Homeless Individuals who have exited this Day Center and moved into Emergency Shelter: | 1856 |
| Homeless Individuals who have exited this Day Center and moved into Transitional Housing: | 53 |
| Homeless Adults who exited and obtained/retained employment: | 161 |
| Homeless Adults who exited and obtained/retained other income (e.g. SSI, TANF, or General Assistance): | 89 |
| Homeless Adults who exited and stabilized a mental illness or chemical addiction for a minimum of 30 days: | 170 |

**Outcomes for Street Outreach Activities**

|  |  |
| --- | --- |
| Homeless Individuals who will move into Permanent Housing: | 95 |
| Homeless Individuals who will move into Emergency Shelter: | 213 |
| Homeless Individuals who will move into Transitional Housing: | 43 |
| Homeless Adults who will obtain/retain employment: | 73 |
| Homeless Adults who will obtain/retain other income (e.g. SSI, TANF, or General Assistance): | 87 |
| Homeless Adults who have stabilized a mental illness or chemical addiction for a minimum of 30 days: | 23 |

## CR-75 – Expenditures

**11. Expenditures**

**11a. ESG Expenditures for Homelessness Prevention**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Dollar Amount of Expenditures in Program Year** | | |
|  | **FY 2011** | **FY 2012** | **FY 2013** |
| Expenditures for Rental Assistance | 0 | 236,240 | 952,072 |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | 0 | 74,344 | 230,234 |
| Expenditures for Housing Relocation & Stabilization Services - Services | 0 | 198,741 | 717,092 |
| Expenditures for Homeless Prevention under Emergency Shelter Grants Program | 83,071 | 335,360 | 0 |
| **Subtotal Homelessness Prevention** | **83,071** | **844,685** | **1,899,398** |

Table 24 – ESG Expenditures for Homelessness Prevention

**11b. ESG Expenditures for Rapid Re-Housing**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Dollar Amount of Expenditures in Program Year** | | |
|  | **FY 2011** | **FY 2012** | **FY 2013** |
| Expenditures for Rental Assistance | 0 | 235,290 | 905,736 |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | 0 | 202,145 | 769,193 |
| Expenditures for Housing Relocation & Stabilization Services - Services | 0 | 384,550 | 809,727 |
| Expenditures for Homeless Assistance under Emergency Shelter Grants Program | 1,840,106 | 3,282,718 | 0 |
| **Subtotal Rapid Re-Housing** | **1,840,106** | **4,104,703** | **2,484,656** |

Table 25 – ESG Expenditures for Rapid Re-Housing

**11c. ESG Expenditures for Emergency Shelter**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Dollar Amount of Expenditures in Program Year** | | |
|  | **FY 2011** | **FY 2012** | **FY 2013** |
| Essential Services | 0 | 65,648 | 3,461,711 |
| Operations | 0 | 136,434 | 3,105,313 |
| Renovation | 0 | 0 | 0 |
| Major Rehab | 0 | 0 | 0 |
| Conversion | 0 | 0 | 0 |
| **Subtotal** | **0** | **202,082** | **6,567,024** |

Table 26 – ESG Expenditures for Emergency Shelter

**11d. Other Grant Expenditures**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Dollar Amount of Expenditures in Program Year** | | |
|  | **FY 2011** | **FY 2012** | **FY 2013** |
| Street Outreach | 0 | 110,955 | 583,416 |
| HMIS | 0 | 41,269 | 193,187 |
| Administration | 0 | 73,803 | 183,813 |

Table 27 - Other Grant Expenditures

**11e. Total ESG Grant Funds**

|  |  |  |  |
| --- | --- | --- | --- |
| **Total ESG Funds Expended** | **FY 2011** | **FY 2012** | **FY 2013** |
| 19,212,168 | 1,923,177 | 5,377,497 | 11,911,494 |

Table 28 - Total ESG Funds Expended

**11f. Match Source**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **FY 2011** | **FY 2012** | **FY 2013** |
| Other Non-ESG HUD Funds | 1,371,763 | 3,055,888 | 1,638,863 |
| Other Federal Funds | 896,883 | 2,468,747 | 3,983,579 |
| State Government | 1,115,905 | 2,026,875 | 3,441,048 |
| Local Government | 1,812,857 | 3,924,688 | 5,267,474 |
| Private Funds | 5,346,264 | 7,856,170 | 6,705,713 |
| Other | 733,202 | 3,310,853 | 3,691,887 |
| Fees | 80,360 | 213,084 | 922,235 |
| Program Income | 403,772 | 428,732 | 233,943 |
| **Total Match Amount** | **11,761,006** | **23,285,037** | **25,884,742** |

Table 29 - Other Funds Expended on Eligible ESG Activities

**11g. Total**

|  |  |  |  |
| --- | --- | --- | --- |
| **Total Amount of Funds Expended on ESG Activities** | **FY 2011** | **FY 2012** | **FY 2013** |
| 80,142,953 | 13,684,183 | 28,662,534 | 37,796,236 |

Table 30 - Total Amount of Funds Expended on ESG Activities