

Resilience Mitigation Planning and Public Services 101



Hello and welcome to the Department of Housing and Community Development's webinar on the Community Development Block Grant Mitigation Planning and Public Services program.



HCD DR-MIT PPS Team Presenters

- Gurdev Mann
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- Caleb Odorfer
 - Program Representative, HCD Disaster Recovery Section

My name is Gurdev Mann, I'm a Program Representative with the Department of Housing and Community Development. I am joined by my colleague Caleb Odorfer. Today we will provide an overview of the Planning and Public Services Program, a federally funded disaster recovery program.



HCD Disaster Recovery Section

Mission: Provides leadership, policies, and programs to preserve and expand safe and affordable housing opportunities and promote strong communities for all Californians.

- Grants and funding
- Mobile home registration
- Building standards
- Planning and community development
- Policy and research

Caleb and I are staff members of the Disaster Recovery Section at HCD. While today's presentation focuses on disaster recovery and mitigation, HCD provides programs that preserve and expand affordable housing opportunities across the state. Other services covered by HCD include:

- Grants and funding
- Mobile home registration
- Building standards
- Planning and community development
- Policy and research



Agenda

- HCD Disaster Recovery
- Federal Disaster Recovery Programs
- MIT-PPS Program
- Next Steps

Today's presentation will provide an overview of what the Community Development Block Grant Mitigation (CDBG-MIT) funding is and provide initial details about the Planning and Public Services Program. The presentation includes an overview of disaster recovery programs funded by Department of Housing and Urban Development (HUD) to recover from the 2017 disasters and mitigate against future disaster risk. The purpose of the presentation is to introduce potential applicants to the Planning and Public Service Program, and what you can do to prepare for the upcoming Notice of Funding Availability (NOFA).



CDBG-MIT Funding

What is Community Development Block Grant Mitigation (CDBG-MIT)?

A new funding source through the Department of Housing and Urban Development (HUD)

HUD Definition: Mitigation activities are those that increase resilience to disasters and reduce or eliminate the long-term risk of loss of life, injury, damage to and loss of property, and suffering and hardship, by lessening the impact of future disasters.

CDBG-MIT is for preventative measures, instead of recovery

Community Development Block Grant Mitigation is a new source of funding from the Department of Housing and Urban Development.

HUD defines Mitigation as:

Activities that increase resilience to disasters and reduce or eliminate the long-term risk of loss of life, injury, damage to and loss of property, and suffering and hardship, by lessening the impact of future disasters.

CDBG Mitigation funding is different from previous disaster recovery funding from HUD because it focuses on funding preventative measures



HCD 2017 Disaster Recovery Funding

- **Unmet Recovery Needs (CDBG-DR) - \$162M**
- **Mitigation (CDBG-MIT) - \$88M**
 - Resilient Infrastructure Program (MIT-RIP) - \$61 million
 - Resilience Planning and Public Services (MIT-PPS) - \$22 million

HCD's Disaster Recovery Section manages Community Development Block Grant disaster recovery funding from both the 2017 and 2018 federally declared disasters. This presentation will only cover 2017 CDBG funding. The funding is split between Unmet Recovery Needs funding (\$162 million) and Mitigation funding (\$88 million). Unmet Recovery Needs funding is the traditional method that HUD disburses recovery dollars, Projects must have a clear tie-back to the declared disaster. Mitigation funding is new and is forward looking. HCD is currently working to launch the Unmet Recovery Needs funded programs, including multifamily housing, owner occupied rehabilitation, and infrastructure programs, but this presentation will not cover those programs or 2018 disasters. I encourage you to visit hcd.ca.gov for more information on those programs.

This presentation will provide an overview of CDBG Mitigation funding, and specifically the \$22 million for Resilience Planning and Public Services. The other CDBG Mitigation funded program is the Resilient Infrastructure Program, which was covered in a previous presentation.



What Disasters are Covered in CDBG-MIT?

Federal Emergency Management Agency (FEMA) Declared Disasters

- DR 4344 – October 2017
 - **Disasters:** Central LNU Complex, Mendocino-Lake Complex, Wind Complex
 - **Counties Covered:** Sonoma, Lake, Napa, Mendocino, Butte, Yuba, Nevada, Orange
- DR 4353 – December 2017
 - **Disasters:** Thomas, Rye, Creek, Lilac Fires
 - **Counties Covered:** Ventura, Santa Barbara, Los Angeles, San Diego

CDBG Mitigation funding is allocated by congress based on Federal Emergency Management Agency (FEMA) Presidentially Declared Disasters. The two FEMA disasters DR 4344 in October 2017 and DR 4353 in December 2017.

DR 4344 includes the Central LNU, Mendocino-Lake, and Wind Complex Fires in Sonoma, Lake, Napa, Mendocino, Butte, Yuba, Nevada, and Orange Counties.

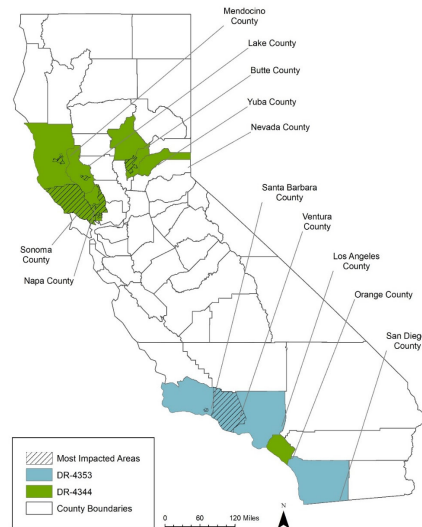
DR 4353 includes the Thomas, Rye, Creek, and Lilac Fires, mudflows, and debris flows. Ventura, Santa Barbara, Los Angeles, and San Diego Counties were all impacted by these disasters.

The CDBG Mitigation funding must be spent in the counties impacted by the two FEMA declared disasters.



Most Impacted and Distressed Areas

- Most Impacted and Distressed (MID) Counties
 - Sonoma County
 - Ventura County
- MID Zip Codes
 - 95470 – Mendocino County
 - 95901 – Predominantly Yuba County
 - 94558 – Predominantly Napa County
 - 95422 – Predominantly the City of Clearlake in Lake County
 - 93108 – City of Montecito, located in Santa Barbara County



Within the FEMA impacted jurisdictions, the Department of Housing and Urban Development determined Most Impacted and Distressed Areas (MID). These Most Impacted and Distressed Areas were determined by HUD as the hardest hit by the two declared disasters. (4344 and 4353)

For the 2017 disasters, two counties, Sonoma and Ventura Counties were both declared Most Impacted and Distressed Areas. In addition to the two counties, five zip codes were also declared Most impacted and Distressed Areas:

- 95470 in Mendocino County
- 95901 in Yuba County
- 95901 in Napa County
- 95422 in the City of Clearlake in Lake County
- 93108 in the City of Montecito.

The Department of Housing and Urban Development (HUD) requires that 50 percent of CDBG Mitigation funding be spent within the Most Impacted and Distressed Areas, and the remaining 50% must benefit the MID.

50% of funding must benefit Low- and Moderate-Income (LMI) Households. This can be derived through a LMI Service Area.



Mitigation Planning and Public Services Program - Goals

- Projects and activities that address risks to community lifelines that support health and safety and mitigate future disasters.
- Build capacity for eligible jurisdictions.
- Assist local entities for updating critical planning efforts to reduce future disaster risks.
- Support public services that inform communities about their disaster risks and how to reduce risk.

The Mitigation Planning and Public Services Program was developed to support projects and activities that reduce risk to communities impacted by the 2017 disasters.

The goal of the program is to have projects and activities that address risks to community lifelines that support health and safety and mitigate future disasters. Community lifelines, as defined by FEMA, are Services that enable the continuous operation of critical government and business functions and are essential to human health and safety or economic security.

Build capacity for eligible jurisdictions, assist local entities with planning, and support public services. While the funding available will not address all hazards, the Planning and Public Services program is designed to support eligible applicants to reduce and mitigate future risks.



CDBG Activities - Planning

- Planning activities could include data gathering, studies, analysis, and preparation of plans and the identification of actions that will implement such plans.
- Plans include comprehensive plans, community development plans, functional plans, and capacity building activities.
 - **\$13,200,000 available**
- Planning activities should be results focused with measurable outcomes

The CDBG Mitigation funding and Planning and Public Services Program is grounded in the federal Community Development Block Grant Program. To qualify as Planning Activity, eligible applicants must produce a tangible plan that includes data gathering, studies, analysis, and preparation of plans. Planning examples include: comprehensive plans, community development plans, and capacity building activities. Due to a statutory cap on planning costs for CDBG Mitigation, \$13.2 million is available for planning activities. Planning activities should be results focused with measurable outcomes



Eligible Activities – Planning (1 of 2)

- Creation of new plans that examine hazards and establish actions for increasing resilience and preparedness
- Update current plans to better align with mitigation principles
- Capacity building for future Resilient Infrastructure Program applicants.

The previous slide outlined the regulations associated with CDBG Planning Activities. For its Mitigation Planning Program, any Planning Activity must be connected to HUD's definition of resilience. To ensure that Planning Activities meet the definition, the following types of Activities are eligible under the Planning Activity:

- Creation of new plans that examine hazards and establish actions for increasing resilience and preparedness
- Update current plans to better align with mitigation principles
- Capacity building for subrecipients intending to submit a project to Round Two of the Resilient Infrastructure Program



Eligible Activities – Planning (2 of 2)

- Creation of or updates to Community Wildfire Protection Plans or earthquake plans or flood mitigation strategies
- Addition of resilience or safety elements (e.g. evacuation routes or forest and vegetation management) to local comprehensive plans

In addition, other Planning Activities that may be considered include the creation of or updates to Community Wildfire Protection Plans (or similar plans), planning efforts that will mitigate earthquake or flood disasters, the addition of or update to resilience plans, or Safety elements (e.g. evacuation routes or forest and vegetation management) to local comprehensive plans.

This is not an exhaustive list of all plan types. Applicants are encourage to submit applications that meet the requirements of the program.



CDBG Activities – Public Services

- CDBG regulations allow for the following public services: employment, crime prevention, childcare, health, drug abuse, education, fair housing counseling, energy conservation, welfare or recreational needs.
- **\$9,240,000 available.**

The Public Services Activity within the Community Development Block Grant Program covers a wide array of eligible activities. To qualify as a public service, eligible applicants must demonstrate:

- A new service
- A quantifiable increase in the level of an existing services
- Public Services funds may not be used to replace local or state funding typically offered by local government

CDBG regulations allow for the following public services: employment, crime prevention, childcare, health, drug abuse, education, fair housing counseling, energy conservation, welfare or recreational needs.

There is a 15 percent cap on Public Services funding for CDBG funded activities, so HCD is offering \$9.2 million through the CDBG Mitigation Public Services Program.



Eligible Activities – Public Services (1 of 2)

- Perform additional services to inform the public on resilient-minded activities
- Capacity building, including job training programs for LMI individuals to work on community mitigation projects
- Code enforcement activities, including training and staffing

The eligible activities for Public Services are as follows:

Perform additional services to inform the public on resilient-minded activities

Capacity building through job training programs for LMI individuals to work on community mitigation projects

Code enforcement activities, including training and staffing



Eligible Activities – Public Services (2 of 2)

- Outreach and educational campaigns regarding proper disaster evacuation, disaster preparedness, and risk reduction initiatives
- Additional public services that serve to enhance critical lifelines of health and safety

Continuing on with eligible activities:

Outreach and educational campaigns regarding proper disaster evacuation, disaster preparedness, and risk reduction initiatives

Additional public services that serve to enhance critical lifelines of health and safety



Notice of Available Funding - Threshold Criteria

- Meet a CDBG Eligible Activity (Planning or Public Services)
- Meet HUD's definition of Mitigation
- Be located in or have demonstrable impact(s) within the (MID)
- Reduce risk for low- to moderate-income individuals and households (80% AMI and below)

Projects must meet the following Threshold Criteria:

Meet a CDBG Eligible Activity

Meet HUD's definition of Mitigation

Be located in or have demonstrable impact(s) within the (MID)

Reduce risk for low- to moderate-income individuals and households (80% Area Median Income and below)



Notice of Available Funding - Prioritization Criteria (1 of 2)

- Demonstrate benefit to Very Low or Extremely Low Income populations (under 50 percent AMI)
- Support or enhance a FEMA community lifeline, especially health and safety
- Be able to quantify a reduction in risk or loss of life
- Demonstrate benefit to Federally protected classes
- Demonstrate collaboration among eligible applicants

What are the Prioritization Criteria?

Demonstrate benefit to Very Low or Extremely Low Income populations (under 50 percent AMI)

Supports or enhances a community lifeline, especially Health and safety

Quantification of reduced risk or loss of life

Demonstrate benefit to Federally protected classes which are age, race, color, religion, sex, familial status, national origin and disability

Demonstrates collaboration among eligible applicants



Notice of Available Funding - Prioritization Criteria (2 of 2)

- Leverage additional funding sources
- Build capacity for implementing projects under the Resilient Infrastructure Program in Round Two
- Complete project within 3 years of Grant Agreement
- Demonstrate sufficient capacity to manage and operate project specific CDBG-MIT funding

Continuing with the Prioritization Criteria?

Leverages additional funding sources

Builds capacity for implementing projects under the Resilient Infrastructure Program in Round Two

Complete project within 3 years of Grant Agreement

Demonstrate sufficient capacity to manage and operate project specific CDBG-MIT funding

I will now transfer you to Caleb Odorfer



Planning and Public Services - Award Caps

- Maximum awards per project under this program will be capped at \$500,000.
- No single entity can receive more than a total of \$2,500,000 in CDBG-MIT funding under this program.

For the Mitigation Policy and Planning Services we will be having award caps. Those Award Caps are:

1) Maximum awards per project under this program will be capped at \$500,000

2) No single entity can receive more than a total of \$2,500,000 in CDBG-MIT PPS funding under this program



Eligible Applicants

- Local governments and local or regional non-profit organizations that are either:
 - Located directly within the MID areas, OR
 - Within a DR-4344 and DR-4353 impacted county.
- Encouraged to apply through a partnership with eligible jurisdictions or non-profit organizations within the MID.
- Project must directly benefit a Most Impacted and Distressed Area (MID).

Eligible applicants are those local governments and local or regional non-profit organizations that are either:

- Jurisdictions or local or regional non-profit organizations that have eligible projects and are located directly within the MID areas, OR
- Jurisdictions within the larger DR-4344 and DR-4353 impacted areas that develop a mitigation planning and or public services project that directly benefits the MID.

These entities are encouraged to apply through a formal, codified partnership with eligible jurisdictions and non-profit organizations within the MID.

Project must directly benefit a Most Impacted and Distressed Area (MID).



CDBG MIT National Objectives

- Public Services
 - Low-and Moderate-Income Persons (LMI)
 - **New** - Urgent Need Mitigation (UNM)
- Planning
 - N/A
 - Planning activities do not require a national objective.

Community Development Block Grant rules requires Public Service projects to meet a National Objective, but Planning Activities are not required to meet a National Objective.

Public Services Activities must meet one National Objective, either Low and Moderate Income National Objective or the new Urgent Need Mitigation National Objective. The Low and Moderate Income National Objective means that the project must benefit persons earning under 80 percent Area Median Income.

As mentioned above, priority will be given to those proposals that primarily benefit low and moderate income persons.

The Urgent Need Mitigation National Objective states that a potential project must address current and future risks as identified in the CDBG Mitigation Action Plan's Mitigation Needs Assessment, and lead to a measurable reduction in community risk.

Regulatory citations and more information on the requirements for National Objective will be included in the Planning and Public Services Notice of Funding Availability (NOFA).



Eligible Program Costs

- Costs generally eligible under standard CDBG guidelines
- Consideration given to costs related to the following, provided there is a demonstrable benefit to the community, per the HUD definition of a mitigation activity:
 - Emergency management,
 - Disaster response, or
 - Disaster preparedness

CDBG-MIT awards under the Resilience Planning and Public Services Program may fund costs generally considered eligible within standard CDBG guidelines, with consideration given to certain costs typically related to emergency management, disaster response, or disaster preparedness that are not generally eligible for CDBG funding but provide a demonstrable benefit to the community per the definition of a mitigation activity.

In this program, these eligible costs must be focused on planning and / or public services activities described above.



Ineligible Program Costs (1 of 2)

- Construction or construction-related activities, including capital improvements to existing facilities;
- Immovable equipment purchase, lease, or maintenance;
- Purchase of land and buildings;
- Political or religious activities;
- Entertainment, including amusement, diversion and social activities, food and beverages associated with training and other work activities.

The following items are ineligible uses of program funding:

Construction or construction-related activities, including capital improvements to existing facilities;

Immovable equipment purchase, lease, or maintenance;

Purchase of land and buildings;

Political or religious activities;

Entertainment, including amusement, diversion and social activities, food and beverages associated with training and other work activities;



Ineligible Program Costs (2 of 2)

- Costs associated with preparation of the grant application;
- Travel not associated with the training of staff;
- Donations and contributions, including cash, services or property;
- Fundraising activities; and
- Investments in instruments or for the sole purpose of a return in investment.

Continuing on with ineligible costs of program funding:

Costs associated with preparation of the grant application;

Travel not associated with the training of staff;

Donations and contributions, including cash, services or property;

Fundraising activities; and

Investments in instruments or for the sole purpose of a return in investment



NEXT STEPS





MIT-PPS Next Steps

- NOFA Timeline
- MIT-PPS and MIT-RIP
- Upcoming Webinars and Office Hours
- Follow-up Questions

What are the next steps for MIT-PPS? We will go over the NOFA timeline, how MIT-PPS and the Mitigation Resilient Infrastructure Program, or MIT-RIP, work together, our upcoming webinars and office hours, as well as how to contact us if you have any follow-up questions.



Projected NOFA Timeline

- eCivis Grants Management System: November 2020
- NOFA Released: November 2020
- Grant Awards: First Quarter 2021

The Department will be accepting Competitive applications through the eCivis Grants Management System portal beginning November 2020.

It is anticipated that the NOFA will be released in November 2020. NOFAs will be reviewed in early-2021 and grant awards will be made following the review.



CDBG Mitigation Programs

- MIT Resilient Infrastructure Program - 2 Rounds
 - Round 1 Shovel Ready
 - Round 2
- MIT Planning and Public Services Program
 - Support for Round 2 MIT-RIP Projects

MIT-PPS and MIT-RIP are designed to work together. MIT-RIP will have two rounds. The first round, of which the upcoming Notice of Intent will be released in November, is focused on shovel ready mitigation and resilience infrastructure projects. The second round are for the projects that need more time to develop or to build capacity for.

The planning aspect of the MIT-PPS program is designed to help Eligible Applicants for MIT-RIP get funds and support for those second round of MIT-RIP infrastructure projects. As this is a new program we know that not all the potentially eligible projects will be shovel ready and that planning and capacity building is needed in many cases. To that end, MIT-PPS is available for jurisdictions that need planning and capacity building support for their infrastructure projects.

We do advise Eligible Applicants MIT-RIP applicants to submit all their infrastructure projects, even those that are not shovel ready and will need PPS funds, in the upcoming MIT-RIP NOI so that we can know how the infrastructure projects and planning projects align.



Upcoming Webinars and Office Hours

- MIT Resilient Infrastructure and DR-Infrastructure NOI webinar
- MIT Planning and Public Services NOFA webinar
- Office Hours – Support for Eligible Applicants

We are going to be holding more webinars over the next month to help prepare you for the MIT-Rip and DR-Infrastructure NOI and for the MIT-PPS NOFA. The specific dates for both are still being confirmed, but we will share announcements for the respective webinars ahead of the presentations.

Once the NOI and NOFA are released we will also be opening up new times for our individual Office Hours in November and December so that we can meet with Eligible Applicants and discuss any questions regarding the programs that they may have.

So please, keep an eye on your inboxes in the next few weeks as we will be sharing more information about the webinars and office hours.



Follow-up Questions

Please send any follow-up questions from today's webinar to Mitigation@hcd.ca.gov

Please send any follow-up questions from today's webinar to Mitigation@hcd.ca.gov. All follow-up questions will be answered and shared by email with the Eligible Applicants' Point of Contacts.



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HCD values diversity at all levels of the department and is committed to fostering an environment in which employees from a variety of backgrounds, cultures, and personal experiences are welcomed and can thrive. We believe the diversity of our employees and their unique ideas inspire innovative solutions to complex housing challenges.

Join us and help improve the lives of all Californians.

To find jobs at HCD:

Visit: jobs.ca.gov and click "Advanced Job Search."

- Search for California Department of Housing and Community Development

New to state service? Don't worry.

You can view the step-by-step process on jobs.ca.gov.

That concludes MIT-PPS101. Thank you all for joining us today. Once again if you have any questions regarding today's webinar please contact us at mitigation@hcd.ca.gov. This presentation and any follow-up questions and answers will be shared with all eligible applicants. We look forward to seeing at the Office Hours. Thank you and have a great day.